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When and How to Repower Energy Systems? a Four Strategies-Based Decision Model

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Abstract

Repowering systems is a long-lasting managerial endeavor where decision-makers face maintenance and optimization problems. The decision time to repower an energy system is one of the most important matters in this field. Also, in the real-world, each component of the system has different versions available in the market, so choosing the best version of components can be one of the valuable and practical issues in repowering a system. Therefore, decision-makers need optimal repowering policies in order to generate the optimal combination of system's components as well as the optimal time to repower this system with respect to important concerns such as cost, availability and safety issues. This paper provides a first-step decision-making model based on four independent repowering strategies for energy systems. A case study from offshore wind turbine system is presented afterwards to demonstrate the effectiveness of the presented policies. This decision support tool deals with the optimal repowering time and the best combination of components based on cost, availability, and safety constraints.

Keywords: energy systems, repowering, age replacement, optimization, maintenance, wind turbine.

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1 1. INTRODUCTION

Energy is essential for the development of our world, including renewable energy. Wind energy is one of the most promising renewable energy sources in Europe. In those countries where wind energy has an increased potential in energy mixes, efforts have been made to develop offshore wind energy [1]. Eurostats, for instance, agreed to say that most of the EU countries are on course to achieve the 2020 renewable energy targets [2, 3], which is reaching 20% of energy consumption from renewable sources. Such performance should be reached despite the challenging sanitary and economic environments [4]. However, it is also agreed that this cannot be achieved without a high reliability performance of these technologies. Thus, efforts have been made through these last decades to achieve high technological performances of renewable power plants. Among these 12 renewable sources, wind energy reliability was the centre of many studies. For instance, Tavner et al. [5], Spinato et al. [6] and Faulstich et al. [7] performed 14 several studies about onshore and offshore wind turbines and their subassemblies' failure rates. Since the environmental factors are more crucial in offshore wind energy, several studies focused on the reliability analysis of offshore wind turbines. 17 For example, Faulstich et al. in [7] analyzed the downtime of offshore wind turbines and how it affects its deployment. Van Bussel and Zaaijer in [8] studied 19 the reliability, availability and maintenance aspects of large-scale offshore wind turbines. Negra et al. in [9] presented a list of factors that influence offshore wind turbines generation. Other studies focused on reliability analysis of wind 22 turbine sub-assemblies in offshore environment [10, 11, 12]. Afterwards, economic constraints of wind turbines' deployment were studied to assess the optimal investment in wind energy, including the so-called finding the optimal topology of wind turbines, which means finding the optimal number of wind turbines to be set up in a wind farm taking into account environmental, 27 technological and economic constraints [13, 14]. Besides, when investigating with wind turbines' topology optimization, one also deals with the optimal time to replace the system. Replacement of wind turbines

(and their subsystems) is generally one of the three scenarios characterized by the end-of-life management of the power plant [15]: i.e., if the replacement is due to a need to dismantle the system, we talk about general end-of-life of power facilities. Another scenario is the lifetime extension of components in the system. However, when the replacement of an energy system is decided with an aim to upgrade the system performance under several project's constraints, we talk 36 about repowering [16]. Hence, wind farm managers may decide to upgrade partially some components of the wind farm (electrical components, blades, ...) or upgrade the wind farm completely including the replacement of wind turbines and their foundations. In the first case, we talk about partial repowering, whereas the full repowering covers the second case [17], which will be handled 41 in this paper. However, the major issues stated by the literature; when dealing with repowering; are the economic constraints [15, 18, 19]. But in such projects, environmental, legal and technological constraints should also be handled [20]. Thus, the objective of this paper is to provide a decision support tool for energy systems subject to repowering. The model provides the optimal time to repower the system as well as the best topology of the repowered system under economic, technological and warranty constraints. This paper is structured as follows. Section 2 investigates the state-of-the-art of 49 repowering and life extension decision support tools. It also defines the research 50 gap. The methodology subsection formulates the problem stated. The optimiza-51 tion section defines the mathematical models for optimal repowering time and optimal topology of the system (the best combination of components). Different types and configurations of systems were studied in section 4. Afterwards, an example of offshore wind turbine subsystems is presented in section 5. We conclude the paper with general remarks and an appendix explaining in depth the cost and availability functions used in this paper.

2. Literature review & methodology

listed in Table 1.

2.1. Literature review: repowering actions in decision models

The current state-of-arts of repowering energy systems in general and wind 60 turbines specifically are relatively poor compared to the general state-of-art of 61 improving technological, cost, and environmental performances of energy systems 62 and wind turbines. Nevertheless, authors focused on six main parameters when dealing with decision models of systems subject to repowering. These parameters are in general: "time; cost; availability and reliability; social; best topology; safety and warranty constraints". For instance, Ziegler et al. in [21] proposed a decision-making model in order to optimize the switching time from lifetime extension to repowering of wind turbines. Bezbradica et al. in [22] introduced a multi-criteria decision analysis for repowering wind turbines based on several criteria such as technical, social 70 or economic ones. The objective of that paper was to investigate which of the proposed scenario is adapted to the stakeholder goals. However, they did not investigate all technical parameters such as the optimal repowering time nor the optimal topology to adopt. Piel et al. in [23] provided a GIS (geographic information system) decision based model to assess the feasibility of repowering 75 the German wind fleet. Other authors from the literature also investigated repowering based decision models as well as decision support tools that assess lifetime extension of systems. The main chosen parameters in the literature are

Table 1: Parameters of repowering based decision models presented in the literature. Literature was extended to repowering, replacement and decommissioning based decision support tools for offshore and onshore systems.

Reference	Parameters								
	Time	Cost	Reliability / availability	Social / legal	Safety / warranty	Topology	Environmental		
[24]	х	х	x	x					
[22]		х	x	x			х		
[23]		x	x			x			
[25]		х							
[26]		x		X					
[27]	x	x							
[28]		x	x	X		x	x		
[29]		x	x		x				
[30]	x	x							
[31]		x	x	X					
[32]		x							
[33]		x		x			x		
[34]		x		x					
[16]		x	x			x			
[35]			x			x			
[36]		x	x	x					
[37]				x			x		
[38]	x	x							
[39]		x	x			x			
[40]		x	x						
[41]	x	x	x						
This paper	х	x	x		x	x			

- As seen in this table, repowering or replacement based decision support
- tools are mostly cost oriented. This is generally due to the fact that decision
- support tools involve ad-hoc assessment of costs of each available strategy [42].
- Afterward, the technical criteria (reliability, availability, performance...) come in
- the second order when choosing the most important parameter to be included in
- the decision support tool.
- In general, due to the rising interest in repowering, replacement, and decom-
- missioning with an upgrade aim in offshore or onshore systems. It is generally
- agreed that the selected parameters for the decision support model should be
- economic, technological, social, legal, environmental and should finally cover
- safety concerns. This was highlighted in the short literature review presented in

- Table 1 and resumed by Martins et al. in [42] for oil and gas energy systems.
- Thus, the contribution of this paper to the literature is the introduction of the
- time parameter to the top chosen parameters in a repowering based decision-
- support tool. This parameter is crucial due to its importance in project man-
- agement and during the decision making process. An asset's owner should be
- able to know at any time what would be the next life cycle step of his project:
- enhance the performance for life extension of the existing project, keep existing
- assets of the project until failure or until reaching critical safety concerns or
- repowering the old project [41].
- Besides the time parameter, economic, technological, safety, and topology con-
- cerns were included in this decision-support tool. At this step, stakeholders and
- environmental parameters were not included in this first step decision support
- tool and should be investigated further in the future.
- Thus, to the best of authors' knowledge, no study on a decision making model
- that bounds optimal repowering time, technical, safety and economic concerns
- of energy systems has been published. Also, in the real-world, each component
- of the system has different versions available in the market, so choosing the best
- version of components (also known as best topology) can be one of the valuable
- and practical issues in repowering the system. As far as we know, there is no
- study on this concern with respect to cost, availability, and safety constraint for
- a system.
- Thus, we aim in this paper to complement previous studies made in this field
- and provide the following contributions:
- Find the optimal repowering topology to upgrade the energy system under
- performance, safety and cost constraints.
- Find the optimal repowering time to upgrade the system under the previous
- constraints.
- Provide a decision-making tool based on four full independent repowering
- strategies.

In this paper, four different strategies of repowering systems are presented. These 120 strategies are independent but are tested under the same cost, warranty and 121 performance constraints. Costs are an important criterion for a decision-maker, 122 the cost function is going to be minimized in the first strategy by considering a 123 lower bound for the availability and safety constraints. In the second strategy, 124 the availability of the system will be maximized by considering the limited 125 budget and a fixed safety constraint. In the third and fourth strategies, two 126 joint functions of cost and availability functions will be optimized under safety constraints. To do so, mathematical models are provided next for series, parallel 128 as well as for series-parallel systems. 129

2.2. Methodology

Let's study a system of n components. Each component within this system 131 has various versions with different lifetimes and costs' versions available in 132 the market. The lifetime for the j-th version of i-th component (denoted 133 as $j^{(i)}$ -component) is $X_i^{(i)}$, where $j=1,2,\ldots,v_i$, and v_i is the number of versions available in the market for the *i*-th component, and i = 1, 2, ..., n. The density and the distribution functions for the $j^{(i)}$ -component are $f_i^{(i)}(\cdot)$ and 136 $F_i^{(i)}(\cdot)$, respectively. Suppose that the k_i -th version of the i-th component $(k_i^{(i)}$ component) is selected, then the lifetime of the original system can be denoted by 138 $\phi(X_{k_1}^{(1)}, X_{k_2}^{(2)}, \dots, X_{k_n}^{(n)})$, where $\phi(.,.,.)$ is the structure function. Thus, for series system; $\phi(X_{k_1}^{(1)}, X_{k_2}^{(2)}, \dots, X_{k_n}^{(n)}) = \min\{X_{k_1}^{(1)}, X_{k_2}^{(2)}, \dots, X_{k_n}^{(n)}\}$, and for parallel system; $\phi(X_{k_1}^{(1)}, X_{k_2}^{(2)}, \dots, X_{k_n}^{(n)}) = \max\{X_{k_1}^{(1)}, X_{k_2}^{(2)}, \dots, X_{k_n}^{(n)}\}$. Afterwards, a 141 policy similar to the age replacement one is applied in this model. Replacement 142 policy is one of the most common policies when maintaining a system. In such 143 policy, the system undergoes a replacement at failure or at age T, whatever 144 occurs first. Several authors from the state of art assessed the optimal age replacement based strategies, see [43, 44, 45, 46]. In this work, the system is being 146 repowered either at a constant time T (T>0), or at $\phi(X_{k_1}^{(1)}, X_{k_2}^{(2)}, \ldots, X_{k_n}^{(n)})$, 147 whichever occurs first. Moreover, repowering at age $\phi(X_{k_1}^{(1)}, X_{k_2}^{(2)}, \dots, X_{k_n}^{(n)})$ is 148 called non-planned repowering and repowering at age T is denoted planned

repowering. Thus, the system's lifetime is $X_T = \min\{T, \phi(X_{k_1}^{(1)}, X_{k_2}^{(2)}, \dots X_{k_n}^{(n)})\}$. For a parallel system we have $X_T = \min\{T, \max\{X_{k_1}^{(1)}, X_{k_2}^{(2)}, \dots X_{k_n}^{(n)}\}\}$, and for a series system $X_T = \min\{T, \min\{X_{k_1}^{(1)}, X_{k_2}^{(2)}, \dots X_{k_n}^{(n)}\}\}$.

One of the main goals of this paper is to find the optimal value for repowering time T by considering four objective functions under the selected constraints. Also, the safety constraint should be considered. This constraint is implemented by bounding the probability that there is not any unplanned repowering in the interval [0, z], where z > 0 is a constant. If the bound is denoted by p_0 , this constraint means that with $100p_0\%$ confidence, the system has not any unplanned repowering from 0 up to z units time (a unit time can be in years, months, days, ..., depending on the data). This constraint is expressed as following.

$$L(T,z) \equiv (\bar{F}_{X_T}(T))^m \bar{F}_{X_T}(z - mT) > p_0, \tag{1}$$

with $\bar{F}_{X_T}(t)$ is the reliability function of X_T , $m = [\frac{z}{T}]$ and $0 < p_0 < 1$ and [x] shows the integer part of x. This constraint shows that there is no non-planned failure from the installation of the system until z units time with the confidence of at least $100p_0\%$. In practice, this safety constraint is generally linked with warranty issues.

In maintenance theory, there are some important metrics such as cost, safety and performance. In this paper, the long-term cost function, availability function and safety constraint are considered. The long-run average cost (expected cost in a cycle per expected lifetime of the system of a cycle) is widely used in the literature and was introduced by [47]. For examples, see [48, 49].

For the proposed model, the long-run average cost as a cost function is given by equation (2).

$$Cost(T) = \frac{c_F + (c_T - c_F)\bar{F}_{X_T}(T)}{\int_0^T \bar{F}_{X_T}(t)dt},$$
 (2)

where c_F is the cost of repowering at $\phi(X_{k_1}^{(1)}, X_{k_2}^{(2)}, \dots X_{k_n}^{(n)})$ and c_T is the cost at age T. The objective here is to minimize (2) and find T_{cost}^* so that Cost(T) needs to be minimized at $T = T_{cost}^*$. By using the results of [47] and [50], the following remark is presented.

Remark:

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Suppose the hazard function of a system is denoted by $r_{X_T}(t) = \frac{f_{X_T}(t)}{\bar{F}_{Y_T}(t)}$. Then the optimal T_{cost}^* to minimize Cost(T) satisfies

$$r_{X_T}(T) \int_0^T \bar{F}_{X_T}(t)dt + \bar{F}_{X_T}(T) = \frac{c_F}{c_F - c_T}.$$
 (3)

If it is assumed further that $r_{X_T}(t)$ is increasing, it will lead to the increase of the left side of (3), and an optimum solution exists, and perhaps infinite. If 159 there is no solution to (3), then the optimal policy is "replacement only when 160 failure occurs". Finally, a unique and finite solution to equation (3) exists if the 161 function $r_{X_T}(t)$ is both continuous and strictly increasing to infinity. When dealing with performance metrics, the availability function is also an 163 important index. The availability of a system is well defined in the state of art 164 and is defined in some ways: interval availability, pointwise availability, multiple 165 cycle availability, limiting interval availability [51, 52]. We thus consider in this 166 paper the limiting interval availability, $Ava = \frac{MTTF}{MTTF + MTTR}$, where MTTF is 167 the mean time to failure and MTTR is the mean time to replace the system. 168 The MTTF and MTTR can be calculated as following

$$MTTF = E(X_T) = \int_0^T \bar{F}_{X_T}(t)dt,$$

$$MTTR = \mu_F F_{X_T}(T) + \mu_T \bar{F}_{X_T}(T).$$

Thus, the limiting interval availability for the proposed model can be written as

$$Ava(T) = \frac{\int_0^T \bar{F}_{X_T}(t)dt}{\int_0^T \bar{F}_{X_T}(t)dt + \mu_F + (\mu_T - \mu_F)\bar{F}_{X_T}(T)},$$
(4)

where μ_F and μ_T are the expected times' lengths to set up the new system in non-planned repowering as well as in planned repowering, respectively. Thus, one wants to maximize (4) and finds T_{ava}^* such that Ava(T) needs to be maximized 173 at $T = T_{ava}^*$. The optimal solution can also be generated theoretically in the same manner as T_{cost}^* (mentioned in the remark). In this paper, four strategies are presented in the following in order to find the optimal topology as well as
the optimal time for repowering a system.

178 3. Optimization

The optimization framework for the proposed model developed is presented in this section. In the following subsections, the decision making model based on four strategies is presented. Each strategy provides the optimal repowering time as well as the best topology for the system. The best/optimal topology provides the optimal combination of components that respects cost, availability and safety constraints. The decision-maker should decide which of these strategies is appropriate based on the situation. Afterwards, a comparison between the four strategies is presented within the case study section.

3.1. Optimal repowering time

The optimal time needed to repower the system for each combination of components is explained in this section, whereas the methodology for finding the best combination of components is presented in the next section.

Strategy 1) In this strategy, the cost function is minimized by considering two constraints: The availability of the system is more than a desired level (A^*) and there is no non-planned repowering for z units time with at least $100p_0\%$ confidence. Therefore

$$\underline{\text{minimize}} \quad Cost(T) = \frac{c_F + (c_T - c_F)\bar{F}_{X_T}(T)}{\int_0^T \bar{F}_{X_T}(t)dt},\tag{5}$$

subject to

$$Ava(T) = \frac{\int_0^T \bar{F}_{X_T}(t)dt}{\int_0^T \bar{F}_{X_T}(t)dt + \mu_F + (\mu_T - \mu_F)\bar{F}_{X_T}(T)} > A^*, \quad (6)$$

$$P(T,z) = (\bar{F}_{X_T}(T))^m \bar{F}_{X_T}(z - mT) > p_0, \tag{7}$$

where $0 < A^* < 1$ is the desired level for availability of the system , $m = \left[\frac{z}{T}\right]$ and $0 < p_0 < 1$. The case $A^* = 0$ shows that the cost function is only considered

in optimization and the availability function is not taken into account. As a result, if the cost function is more important for the decision-maker and also there is a minimum availability target to reach, then the decision-maker should select Strategy 1.

Strategy 2) In this strategy, the availability function is maximized by considering two constraints: The cost of the system is less than the desired level (C^*) and there is no non-planned repowering for z units time with at least $100p_0\%$ confidence. Therefore

subject to

$$Cost(T) = \frac{c_F + (c_T - c_F)\bar{F}_{X_T}(T)}{\int_0^T \bar{F}_{X_T}(t)dt} < C^*,$$
(9)

$$P(T,z) = (\bar{F}_{X_T}(T))^m \bar{F}_{X_T}(z - mT) > p_0, \tag{10}$$

where $C^* > 0$ is the desired level for the cost of the system, $m = \left[\frac{z}{T}\right]$ and $0 < p_0 < 1$. The case $C^* = \infty$ shows that the availability function is only considered in optimization and the cost function is not taken into account. As a result, if the availability of the system is more important for decision-maker and there is also a limited budget, then the decision-maker should select Strategy 2.

Strategy 3) In this strategy, a joint function AC(T) composed of cost and availability functions is maximized. In [53] was introduced a similar parameter based on combined availability and cost functions, as $AC(T) = \frac{Ava(T)}{Cost(T)}$. In fact, this criterion shows the system's availability per unit of cost. We also consider in this strategy an additional safety constraint. Therefore

$$\underline{\text{maximize}} \ AC(T) = \frac{Ava(T)}{Cost(T)}, \tag{11}$$

subject to

$$P(T,z) = (\bar{F}_{X_T}(T))^m \bar{F}_{X_T}(z - mT) > p_0, \tag{12}$$

where $m = \left[\frac{z}{T}\right]$ and $0 < p_0 < 1$. Thus, if the availability and the cost of the

system are both important for decision-maker, then Strategy 3 is an appropriate selection.

Strategy 4) This strategy considers min-norm methods. Lin [54] presented min-norm methods for optimization in multi-objective problems. The general description based on the concept of least distance and weighted Holder norms can be described as following

$$\underline{\text{minimize}} \ L(x) = \left\{ \sum_{i} (w_i |J_i(x) - G_i(x)|)^p \right\}^{1/p}$$

where G_i is the goal (the target value or demand level) for objective i and w_i a positive weight on objective i; p is a positive integer (1, 2, ...). None of the weights implemented here are null, since if any objective gets a zero weight, it is substantially outweighed and should have been excluded. The practitioners are free to choose any value for the parameter p depending on the running problem. The case of $p = \infty$, when p if infinite, is also reformulated using the Tchebycheff (or max-) norm and is described as:

$$\underline{\text{minimize}} \ L(x) = \max_{i} (w_i | J_i(x) - G_i(x) |).$$

This paper presents two objective functions; cost and availability functions. In view of the range, these two functions are different and thus are rescaled by dividing these functions by the optimal solutions. Therefore, for the fourth strategy in case of $p=\infty$

minimize

$$L(T) = \max \left\{ w_1 \frac{Cost(T) - \min Cost(T)}{\min Cost(T)}, (1 - w_1) \frac{\max Ava(T) - Ava(T)}{\max Ava(T)} \right\}$$
(13)

subject to

$$P(T,z) = (\bar{F}_{X_T}(T))^m \bar{F}_{X_T}(z - mT) > p_0, \tag{14}$$

where $0 \le w_1 \le 1$ is the weight for cost function and $1 - w_1$ is the weight for availability function. It is worth mentioning that availability is reported within

236 [0,1], and the proposed cost function is supposed to handle all Capex and Opex 236 expenditures and thus there is no limit for this function so it is within $[0,\infty]$. 237 Accordingly, to make a balance between two objective functions by integrating 238 them into a unified objective function, we changed the scales of both objective 239 functions into [0,1]. 240 The value $w_1 = 0$ shows that the cost function is only considered, whereas 241 w = 1 shows the case when only the availability function is considered. Therefore, 242 if the availability and the cost of the system are important for decision-maker

but with specific weights, then Strategy 4 is a suitable selection.

3.2. Optimal combinations

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This paper considers a system that is composed of n components and each of the components has various versions with different lifetimes and system's engineer wants to select the optimal combination of components. Suppose the number of versions for the i-th component is v_i , therefore the number of combinations is

$$NoC = \prod_{i=1}^{n} v_i.$$

In this section, the aim is to find the best combination between NoC combinations. For each combination based on four strategies that are presented in section 3.1, an optimal time for repowering the system is calculated, therefore for s-th strategy we have:

$$T^{(s),1}, T^{(s),2}, \dots, T^{(s),Noc},$$

where s = 1, 2, 3, 4, and $T^{(s),i}$ is the optimal repowering time for the *i*-th combination based on the *s*-th strategy. At this step, the best combination for each strategy is assessed. For each strategy, the best combination is selected as follows:

Strategy 1) The b-th combination is the best combination if:

$$Cost(T^{(1),b}) < Cost(T^{(1),i}), \quad \forall i \in \{1, 2, \dots NoC\}.$$

Strategy 2) The b-th combination is the best combination if:

$$Ava(T^{(2),b}) > Ava(T^{(2),i}), \quad \forall i \in \{1, 2, \dots NoC\}.$$

Strategy 3) The b-th combination is the best combination if:

$$AC(T^{(3),b}) > AC(T^{(3),i}), \quad \forall i \in \{1, 2, \dots NoC\}.$$

- Strategy 4) Finding the best combination in this strategy depends on w_1 , so that
- For $0 < w_1 < 1$, the b-th combination is the best combination if:

$$L(T^{(4),b}) < L(T^{(4),i}), \quad \forall i \in \{1, 2, \dots NoC\}.$$

• For $w_1 = 0$, the b-th combination is the best combination if:

$$Ava(T^{(4),b}) > Ava(T^{(4),i}), \forall i \in \{1, 2, \dots NoC\}.$$

• For $w_1 = 1$, the b-th combination is the best combination if:

$$Cost(T^{(4),b}) < Cost(T^{(4),i}), \quad \forall i \in \{1, 2, \dots NoC\}.$$

261 4. Types of systems

- In this work, three types of systems are considered; parallel, series and series-parallel systems and the cost and availability functions are described.
- 264 4.1. Parallel system
- The lifetime of the parallel system by considering $k_i^{(i)}$ -component, is $X_T = \min\{T, \max\{X_{k_1}^{(1)}, X_{k_2}^{(2)}, \dots, X_{k_n}^{(n)}\}\}$. For $T \geq t$, the distribution function of X_T is given by $F_{X_T}(t) = \prod_{i=1}^n F_{k_i}^{(i)}(t)$. The cost function defined in equation (2) can be rewritten as follows

$$Cost(T) = \frac{c_T + (c_F - c_T) \prod_{i=1}^n F_{k_i}^{(i)}(T)}{T - \int_0^T \prod_{i=1}^n F_{k_i}^{(i)}(t) dt},$$
(15)

and upon (4), the availability of a parallel system (availability function of T) is

$$Ava(T) = \frac{T - \int_0^T \prod_{i=1}^n F_{k_i}^{(i)}(t)dt}{T - \int_0^T \prod_{i=1}^n F_{k_i}^{(i)}(t)dt + \mu_T + (\mu_F - \mu_T) \prod_{i=1}^n F_{k_i}^{(i)}(T)},$$

and for the safety constraint

$$P(T,z) = (1 - \prod_{i=1}^{n} F_{k_i}^{(i)}(T))^m (1 - \prod_{i=1}^{n} F_{k_i}^{(i)}(z - mT)) > p_0.$$

4.2. Series system

The series-system's lifetime considering the $k_i^{(i)}$ -component is $X_T = \min\{T, \min\{X_{k_1}^{(1)}, X_{k_2}^{(2)}, \dots X_{k_n}^{(n)}\}\}$. For $T \geq t$, the reliability function of X_T is $\bar{F}_{X_T}(t) = \prod_{i=1}^n \bar{F}_{k_i}^{(i)}(t)$. Using equation (15), the cost function for series system is

$$Cost(T) = \frac{c_F + (c_T - c_F) \prod_{i=1}^n \bar{F}_{k_i}^{(i)}(T)}{\int_0^T \prod_{i=1}^n \bar{F}_{k_i}^{(i)}(t) dt},$$

and from equation (4), the availability of the system (availability function of T)

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$$Ava(T) = \frac{\int_0^T \prod_{i=1}^n \bar{F}_{k_i}^{(i)}(t)dt}{\int_0^T \prod_{i=1}^n \bar{F}_{k_i}^{(i)}(t)dt + \mu_F + (\mu_T - \mu_F) \prod_{i=1}^n \bar{F}_{k_i}^{(i)}(T)}.$$

As for the safety constraint

$$P(T,z) = \prod_{i=1}^{n} (\bar{F}_{k_i}^{(i)}(T))^m \bar{F}_{k_i}^{(i)}(z - mT) > p_0.$$

4.3. Series-Parallel system

In the field of system dependability, a typical series-parallel system is composed of multiple subsystems in series, and each of them are in parallel. As mentioned in [55], series-parallel systems are a large type of multi-component systems and can describe concisely the basic features of any complex system.

Let's suppose there are three subsystems that are in series. Each subsystem is formed by parallel components. Besides, the lifetime of the k_i -th version of the i-th component in the 1^{st} subsystem is $X_{k_i}^{(i)}$ with a distribution functions $F_{X_{k_i}}^{(i)}(\cdot)$, for $i = \{1, 2, \ldots, d_1\}$. In the second subsystem, $Y_{k_i}^{(i)}$ is the lifetime

of its components with a distribution functions $F_{Y_{k_i}}^{(i)}(\cdot)$, for $i=\{1,2,\ldots,d_2\}$.

In the third subsystem, $Z_{k_i}^{(i)}$ is the lifetime of correspond components with a distribution function $F_{Z_{k_i}}^{(i)}(\cdot)$, for $i=\{1,2,\ldots,d_3\}$, where d_1,d_2 , and d_3 are the number of components in the first, second and third subsystems, respectively.

Therefore

$$X_T = \min\{T, \max_{1 \leq i \leq d_1} X_{k_i}^{(i)}, \max_{1 \leq i \leq d_2} Y_{k_i}^{(i)}, \max_{1 \leq i \leq d_3} Z_{k_i}^{(i)}\}.$$

for $T \geq t$, one have

$$\bar{F}_{X_T}(t) = \left(1 - \prod_{i=1}^{d_1} F_{X_{k_i}}^{(i)}(t)\right) \left(1 - \prod_{i=1}^{d_2} F_{Y_{k_i}}^{(i)}(t)\right) \left(1 - \prod_{i=1}^{d_3} F_{Z_{k_i}}^{(i)}(t)\right) \tag{16}$$

The mean time to repower the system can be expressed as

$$E(X_T) = \int_0^T (1 - \prod_{i=1}^{d_1} F_{X_{k_i}}^{(i)}(t))(1 - \prod_{i=1}^{d_2} F_{Y_{k_i}}^{(i)}(t))(1 - \prod_{i=1}^{d_3} F_{Z_{k_i}}^{(i)}(t))dt.$$
 (17)

By substituting (16) and (17) in equation (2), the expected cost rate was generated and was presented in (G.1). The *MTTR* for the proposed policy is

$$MTTR = \mu_F + (\mu_T - \mu_F)(1 - \prod_{i=1}^{d_1} F_{X_{k_i}}^{(i)}(T))(1 - \prod_{i=1}^{d_2} F_{Y_{k_i}}^{(i)}(T))(1 - \prod_{i=1}^{d_3} F_{Z_{k_i}}^{(i)}(T)),$$
(18)

and the MTTF for the proposed policy is

$$MTTF = \int_0^T (1 - \prod_{i=1}^{d_1} F_{X_{k_i}}^{(i)}(t))(1 - \prod_{i=1}^{d_2} F_{Y_{k_i}}^{(i)}(t))(1 - \prod_{i=1}^{d_3} F_{Z_{k_i}}^{(i)}(t))dt,$$
 (19)

Upon substituting (18) and (19) into equation (4), the series-parallel system's availability considered as a function of T is obtained which is given in (G.2). The terms in (G.1) and (G.2) are supposed to ease the numerical computation of such metrics as it will be presented in the following example.

5. Case study

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We retake the example of a geared wind-turbine (WT) considered as a seriesparallel system presented in [56, 20]. In this paper, was provided an aggregate
of four series-parallel sub-systems from the offshore WT is studied and showed
in Fig. 1.

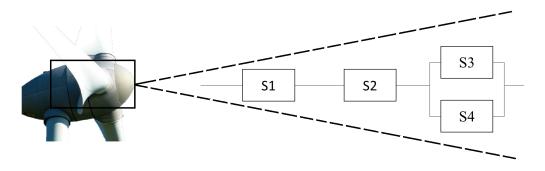
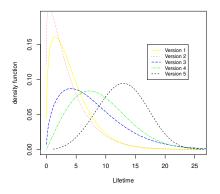
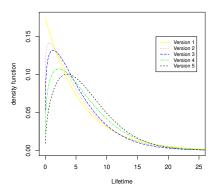


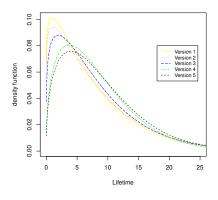
Figure 1: Configuration of the studied system.

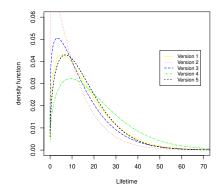
This case study is configured by four major components $\{S_1, S_2, S_3, S_4\}$. These 301 components have various versions (variants) available in the market. These 302 versions can be catalogued based on their cost and reliability parameters. Besides, 303 as seen in Table 2, versions can be classified by their failure rate, reliability and 304 cost of planned & non-planned repowering. Then, the decision-maker should 305 select a version of each component to compose its system, thus $NoC = 5^4$. Figure 2(a) shows the probability density functions of the different available versions of component S1. Figures 2(b-d) are also for S2, S3, and S4 components' 308 versions available in the market. Figure 2(a) depicts that Version 5 of component 309 S1 has a noticeable difference in mean lifetime when compared with other versions 310 of the same component. It is then a good candidate to be among the optimal 311 combination. The same statement is not expressed for the other components' versions based on Figures 2(b-d). 313 The main problem in such cases is finding the best or optimal combination 314 (topology) of components and the optimal repowering time for such system. 315





- component S1.
- (a) Lifetime distribution for each version of (b) Lifetime distribution for each version of component S2.





(c) Lifetime distribution for each version of component S3.

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(d) Lifetime distribution for each version of component S4.

Figure 2: Lifetime distribution of each version of components S1, S2, S3, and S4.

The optimal time to repower should be calculated taking into account four strategies presented in Section 3.1, and the best combination of components 317 should be optimized by considering the suitable strategy. The studied system is 318 a series-parallel system so the equations (16)-(19), (G.3) and (G.4) are used in 319 optimization. 320

According to Figure 1, the system is composed of three subsystems such as

Table 2: Example data (the units are: λ (year), $c_T(\in)$, $\mu_F(\text{hours}), c_F(\in), \mu_T(\text{hours})$).

Table 2. Exa	re: λ (year), $c_T(\rightleftharpoons)$, $\mu_F(\text{hours}), c_F(\rightleftharpoons), \mu_T(\text{hours}))$.								
			versions						
components	Data parameters	Ver1	Ver2	Ver3	Ver4	Ver5			
	β	1.3	1.1	1.5	2	3.5			
	λ	4.57	4.00	8.57	10.27	14.27			
	c_T	24000	20000	18000	25000	30000			
S1	μ_T	12	12	12	12	12			
	c_F	68400	64400	62400	69400	74400			
	μ_F	36	36	36	36	36			
	β	1	1.1	1.2	1.3	1.5			
	λ	5.71	5.71	5.71	6.85	7.42			
	c_T	30000	32000	31200	32000	32500			
S2	μ_T	52	52	52	52	52			
	c_F	36000	38000	37200	38000	38500			
	μ_F	76	76	76	76	76			
	β	1.1	1.1	1.2	1.4	1.4			
	λ	8.00	8.56	8.56	9.13	9.70			
	c_T	1100	1200	1200	1200	1300			
S3	μ_T	6	6	6	6	6			
	c_F	37100	37200	37200	37200	37300			
	μ_F	30	30	30	30	30			
	β	1.3	1.1	1.2	1.4	1.4			
	λ	17.12	11.42	14.93	22.83	17.12			
	c_T	34000	30000	36000	45000	45000			
S4	μ_T	60	60	60	60	60			
	c_F	288400	284400	290400	299400	299400			
	μ_F	108	108	108	108	108			

 $d_1 = 1, d_2 = 1$ and $d_3 = 2$ (The 1st subsystem is composed of (S1), the 2nd

subsystem of component (S2), and the latter has both components (S3, S4)).

Besides, each component has 5 versions in the market.

The lifetimes of the *i*-th versions for components S1 and S2 are X_i and Y_i . They

follow a Weibull distributions with parameters (λ_i, β_i) , (λ_i', β_i') , respectively.

Also, the lifetimes of the *i*-th versions of S3 and S4 subsystems are $Z_i^{(1)}$ and $Z_i^{(2)}$.

They follow a Weibull distributions with parameters $(\lambda_{i,1}^{"}, \beta_{i,1}^{"})$, and $(\lambda_{i,2}^{"}, \beta_{i,2}^{"})$,

respectively, where $i = 1, 2, \dots, 5$.

For t < T, and by considering the *i*-th version for each component

$$\bar{F}_{X_T}(t) = e^{-(\frac{t}{\lambda_i})^{\beta_i} - (\frac{t}{\lambda_i'})^{\beta_i'}} \left\{ 1 - \prod_{i=1}^2 \left(1 - e^{-(\frac{t}{\lambda_{i,j}''})^{\beta_{i,j}''}}\right) \right\},$$

331 and

$$E(X_T) = \int_0^T e^{-\left(\frac{t}{\lambda_i}\right)^{\beta_i} - \left(\frac{t}{\lambda_i'}\right)^{\beta_i'}} \left\{ 1 - \prod_{i=1}^2 \left(1 - e^{-\left(\frac{t}{\lambda_{i,j}''}\right)^{\beta_{i,j}''}}\right) \right\} dt.$$

Then, cost and availability functions can be determined and are presented in (G.3)

and (G.4), respectively. To show the obtained results, components' parameters

in Table 2 are used. In this table, each version of the four components is fully

characterized by: Weibull distribution with parameters (β , λ in years), costs

of planned and un-planned repower (c_T and c_F in \in), and respectively time to

planned and un-planned repower (μ_F and μ_T in hours).

Thus, the aim is to generate the optimal time to repower each combination

(topology) of components' versions, then the best topology based on the four

strategies is selected accordingly.

The "DEoptim" package in R is used in order to obtain the numerical results.

In this package, the Differential Evolution (DE) algorithm is implemented

is for global optimization of a real-valued function of a real-valued parameter

vector as described in [57]. DE is a search heuristic introduced by [58]. Its

noticeable performance as a global optimization method on continuous numerical

minimization problems has been extensively studied and explored; see [59]. DE

belongs is a genetic algorithm which uses biology-inspired operations of crossover,

mutation, and selection of a population so that it minimizes the objective 348 function over the course of successive generations (see [60]). Same as the rest of 349 evolutionary algorithms, DE solves optimization problems using the evolution of a 350 population of candidate solutions using operators such as alteration and selection. 351 DE also uses floating-point encoding instead of bit-string encoding of population 352 members. Arithmetic operations are also used instead of logical operations in 353 mutation. This heuristic is particularly well-suited for global optimum search 354 of a real-valued function of real-valued parameters. Besides, it does not require constraints on the function to be either continuous or differentiable. 356 The main results for numerical computations are presented in Table 3. The 357 parameters for safety constraints (p_0, z) are determined by the decision-maker. 358 If we consider z = 4 years and $p_0 = 0.6$. Then the decision-maker would like that the system does not have any unplanned repowering from 0 up to 4 years with at least 60% confidence. 361

Table 3: Results for case study.

	Best combination				
	{S1,S2,S3,S4}	T^*	$Cost(T^*)$	$Ava(T^*)$	$P(T^*,z)$
Strategy 1	{5,5,5,4}	5.73	56213.04	0.9955	0.7199
Strategy 2	{5,5,5,4}	7.77	58000	0.9958	0.7199
Strategy 3	{5,5,5,1}	4.88	55743.86	0.9951	0.7063
Strategy 4 $(w_1=0)$	{5,5,5,4}	10.18	61256.78	0.9958	0.7199
Strategy 4 (w_1 =0.1)	{5,2,2,4}	7.69	69609.56	0.9951	0.6147
Strategy 4 (w_1 =0.5)	{5,2,2,4}	7.25	69509.44	0.9950	0.6147
Strategy 4 (w_1 =0.75)	{5,2,2,4}	7.13	69497.4	0.9950	0.6147
Strategy 4 $(w_1=0.95)$	{5,2,2,4}	7.05	69493.22	0.9950	0.6147
Strategy 4 $(w_1=1)$	{5,5,5,1}	4.87	55743.68	0.9951	0.7063

• Strategy 1: the cost function is minimized subject to a threshold level for the availability function (0.9955) and safety constraints. The level for availability can be selected by the decision-maker. By using equations

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(5)-(7), $\{5,5,5,4\}$ is the best combination of components. The optimal time is $T^* = 5.73$ (years) and the minimum cost function is 56213.04 (euros). The availability of the system in this situation is 0.9955 and the probability of non-planned repowering for not occurring in the time interval [0,4] is 0.7199. Therefore we must select version "5" of components $\{S1, S2, S3\}$ and version "4" of component 'S4'. If the system don't fail before time T = 5.73, then a planned repowering strategy is to be done at time T = 5.73 so that the cost function subject to the constraints can be minimized. Also, with 71.99% confidence, the system will not have any unplanned repowering from 0 up to 4 years. Figure 3 shows cost function for the best combination of this strategy. This figure also shows feasible region by considering the mentioned constraints.

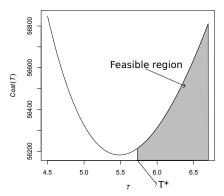


Figure 3: Best topology selected from strategy 1-{5,5,5,4}.

• Strategy 2: the availability function is maximized under a threshold level for the cost function (58000 €) and safety constraints. By using equations (8)-(10), {5,5,5,4} is the best combination of components. The optimal time is T* = 7.77 (years) and the maximum availability function is 0.9958, the cost of the system in this situation is 58000 and the probability of non-planned repowering for not occurring in the time interval [0,4] is 0.7199. Therefore we must select version "5" of components {S1, S2, S3} and the 4th version of component 'S4', and if the system don't fail before

time T=7.77, a planned repowering is to be done at time T=7.77 so that we can maximize the availability of the system subject to the described constraints. Figure 4 shows the availability function for the optimal combination for this strategy. This figure also shows feasible region by considering the mentioned constraints.

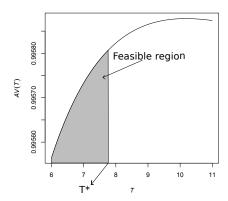


Figure 4: Best topology selected from strategy 2-{5,5,5,4}.

• Strategy 3: the AC(T) function is maximized subject to safety constraints. By using equations (13) and (14), $\{5,5,5,1\}$ is the best combination of sub-systems. The optimal time is $T^* = 4.88$ (years), the maximum AC(T) function is 1.78e - 05, the availability and cost functions of the system in this situation are 55743.86 and 0.9951, respectively. The probability of non-planned repowering for not occurring in the time interval [0,4] is 0.7063. Therefore we must select version "5" of components $\{S1, S2, S3\}$ and the 1^{st} version of component 'S4', and if the system don't fail before time T = 4.88, the planned repowering is to be done at T = 4.88 in order to respect the strategy constraints. Therefore we must select version "5" of components $\{S1, S2, S3\}$ and the version "4" of component 'S4', and if the system don't fail before time T = 4.88, the planned repowering should be made at T = 4.88 in order to maximize AC(T) function. Also, with an 70.63% confidence, the system will not have any unplanned repowering from 0 up to 4 years. Figure 5 shows AC function for the best combination

for this strategy. This figure also shows feasible region by considering the mentioned constraint.

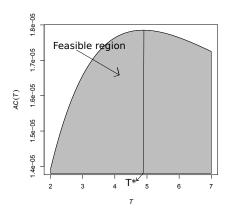


Figure 5: Best topology selected from strategy 3-{5,5,5,1}.

- Strategy 4, L(T) function is minimized subject to safety constraints. According to the value of w_1 , different optimal repowering times and best combinations of components can be provided. Thus:
 - if $w_1 = 0$: the best combination of the components to be chosen is the 5-th version of the three first components and the 4-th version of the last component ($\{5,5,5,4\}$), also $T^* = 10.18$.
 - if $w_1 = 1$: the best topology to be picked is the topology ($\{5,5,5,1\}$), also $T^* = 4.87$.
 - if $0 < w_1 < 1$: the best combination is $\{5,2,2,4\}$. Besides, a sensitivity study was performed to assess the influence of w_1 on the optimal time to repower. Thus, as presented in Table 3, T^* decreases as w_1 increases, so when the weight of the cost function decreases (the weight of availability function increases, $1-w_1$), the planned repowering time is higher and the cost function at optimal repowering time decreases. However, no changes are noticed for availability and probability of non-planned repowering parameters. Figure 6 shows L(T) function with $w_1 = 0.5$ for the best combination for this strategy. This figure

also shows feasible region under the considered constraint.

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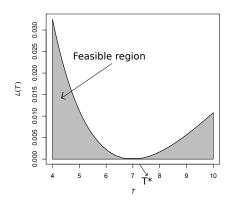


Figure 6: Best topology selected from strategy 4 with w = 0.5- $\{5,2,2,4\}$.

- Figures 7 and 8 are drawn for comparison purposes between the presented strate-
- gies. They show the optimal cost and availability for each strategy, respectively.
- Figure 7 illustrates how the optimal cost is significantly affected by the strategies.
- For example, the minimum and the maximum costs are 55743.68 and 69609.56,
- which are respectively caused by the same strategy 4 with $w_1 = 1$ and $w_1 = 0.1$.
- Moreover, based on Figure 8, it can be seen that although changing the strategy
- has no significant effect on optimal availability (minimum is 0.9950 and maximum
- is 0.9958), Strategies 2 and 4 with $w_1 = 0$ do have maximum availability.

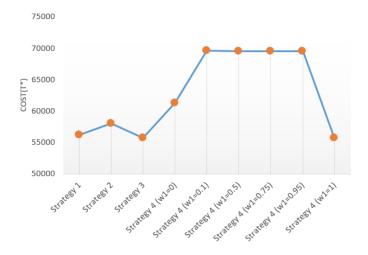


Figure 7: Optimal cost for each strategy.

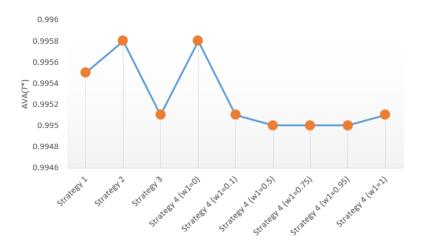


Figure 8: Optimal availability for each strategy.

6. Concluding Remarks

In the real world, there is no unrivaled solution for the optimal repowering topology in energy systems. Constraints such as costs and technological performances are the core of the decision-making tools for defining the optimal time and topology of repowering systems. Safety constraints are also crucial for

- warranty reasons in order to avoid replacing the system while it is still under
- 439 warranty.
- This paper has developed a decision-making tool for energy systems in order to
- find the optimal repowering time and topology based on the following criterion:
- Repower the energy system taking into account warranty, cost, reliability,
- failure rates of several versions of the system's components available in the
- market and their warranty characteristics.
- Consider in each case a cost function to minimize, an availability function
- to maximize, a joint function AC(T) to maximize and a multi-objective
- function L(T) to minimize.
- The core of replacement strategies of wind energy systems are within repowering
- activities. One of the disadvantages of the proposed model is the fact that it
- does not consider social and stakeholders criteria in the repowering decision, nor
- environmental assessment of the chosen scenario was considered in this study.
- 452 A natural next step will be the incorporation of these two parameters in the
- decision support tool.
- Future studies will investigate other decommissioning scenarios of energy systems
- and how they affect the levelized cost of energy-LCOE, since this phase received
- little attention in the state of art of energy deployment and its sustainability.
- 457 Another perspective will be to consider system dependencies, weather conditions
- and how it affects accessibility to the energy system. This paper consider full
- repowering problem, one may also consider in future works partial repowering
- and group maintenance.

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- paper. This work was partially presented at the IEEE CODIT'19 conference
- i65 [20].

466 7. APPENDIX

- In this section, expressions for the availability and cost functions are developed.
- The expected availability and cost functions for a series-parallel system can be
- determined as (G.1) and (G.2), respectively. The expected availability and cost
- functions for the case study can be determined as (G.3) and (G.4), respectively.

$$Cost(T) = \frac{c_F + (c_T - c_F)(1 - \prod_{j=1}^{d_1} F_{X_{k_i}}^{(i)}(T))(1 - \prod_{j=1}^{d_2} F_{Y_{k_i}}^{(i)}(T))(1 - \prod_{j=1}^{d_3} F_{Z_{k_i}}^{(i)}(T))}{\int_0^T \prod_{j=1}^n (1 - \prod_{j=1}^{d_1} F_{X_{k_i}}^{(i)}(t))(1 - \prod_{j=1}^{d_2} F_{Y_{k_i}}^{(i)}(t))(1 - \prod_{j=1}^{d_3} F_{Z_{k_i}}^{(i)}(t))dt}.$$
(G.1)

$$Availability(T) = \int_{0}^{T} \prod_{j=1}^{n} (1 - \prod_{j=1}^{d_{1}} F_{X_{k_{i}}}^{(i)}(t))(1 - \prod_{j=1}^{d_{2}} F_{Y_{k_{i}}}^{(i)}(t))(1 - \prod_{j=1}^{d_{3}} F_{Y_{k_{i}}}^{(i)}(t))dt$$

$$\times \left[\int_{0}^{T} \prod_{j=1}^{n} (1 - \prod_{j=1}^{d_{1}} F_{X_{k_{i}}}^{(i)}(t))(1 - \prod_{j=1}^{d_{2}} F_{Y_{k_{i}}}^{(i)}(t))(1 - \prod_{j=1}^{d_{3}} F_{Z_{k_{i}}}^{(i)}(t))dt + \mu_{F} + (\mu_{T} - \mu_{F}) \right]$$

$$(1 - \prod_{j=1}^{d_{1}} F_{X_{k_{i}}}^{(i)}(T))(1 - \prod_{j=1}^{d_{2}} F_{Y_{k_{i}}}^{(i)}(T))(1 - \prod_{j=1}^{d_{3}} F_{Z_{k_{i}}}^{(i)}(T))^{-1}. \quad (G.2)$$

$$Cost(T) = \frac{c_F + (c_T - c_F)e^{-(\frac{T}{\lambda_i})^{\beta_i} - (\frac{T}{\lambda_i'})^{\beta_i'}} \left\{ 1 - \prod_{j=1}^2 (1 - e^{-(\frac{T}{\lambda_{i,j}''})^{\beta_{i,j}''}}) \right\}}{\int_0^T e^{-(\frac{t}{\lambda_i})^{\beta_i} - (\frac{t}{\lambda_i'})^{\beta_i'}} \left\{ 1 - \prod_{j=1}^2 (1 - e^{-(\frac{t}{\lambda_{i,j}''})^{\beta_{i,j}''}}) \right\} dt}.$$
(G.3)

$$Availability(T) = \int_{0}^{T} e^{-(\frac{t}{\lambda_{i}})^{\beta_{i}} - (\frac{t}{\lambda_{i}'})^{\beta_{i}'}} \left\{ 1 - \prod_{j=1}^{2} (1 - e^{-(\frac{t}{\lambda_{i}''})^{\beta_{i,j}''}}) \right\} dt$$

$$\times \left[\int_{0}^{T} e^{-(\frac{t}{\lambda_{i}})^{\beta_{i}} - (\frac{t}{\lambda_{i}'})^{\beta_{i}'}} \left\{ 1 - \prod_{j=1}^{2} (1 - e^{-(\frac{t}{\lambda_{i,j}''})^{\beta_{i,j}''}}) \right\} dt + \mu_{F} + (\mu_{T} - \mu_{F}) e^{-(\frac{T}{\lambda_{i}})^{\beta_{i}} - (\frac{T}{\lambda_{i}'})^{\beta_{i}'}}$$

$$\left\{ 1 - \prod_{j=1}^{2} (1 - e^{-(\frac{T}{\lambda_{i,j}''})^{\beta_{i,j}''}}) \right\} \right]^{-1}. \quad (G.4)$$

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