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When and How to Repower Energy Systems? a Four Strategies-Based Decision Model

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Abstract

Repowering systems is a long-lasting managerial endeavor where decision-makers face maintenance and optimization problems. The decision time to repower an energy system is one of the most important matters in this field. Also, in the real-world, each component of the system has different versions available in the market, so choosing the best version of components can be one of the valuable and practical issues in repowering a system. Therefore, decision-makers need optimal repowering policies in order to generate the optimal combination of system's components as well as the optimal time to repower this system with respect to important concerns such as cost, availability and safety issues. This paper provides a first-step decision-making model based on four independent repowering strategies for energy systems. A case study from offshore wind turbine system is presented afterwards to demonstrate the effectiveness of the presented policies. This decision support tool deals with the optimal repowering time and the best combination of components based on cost, availability, and safety constraints.

Keywords: energy systems, repowering, age replacement, optimization, maintenance, wind turbine.

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1 1. INTRODUCTION

Energy is essential for the development of our world, including renewable 2 energy. Wind energy is one of the most promising renewable energy sources 3 in Europe. In those countries where wind energy has an increased potential 4 in energy mixes, efforts have been made to develop offshore wind energy [1]. Eurostats, for instance, agreed to say that most of the EU countries are on course to achieve the 2020 renewable energy targets [2, 3], which is reaching 20% of en-7 ergy consumption from renewable sources. Such performance should be reached 8 despite the challenging sanitary and economic environments [4]. However, it is 9 also agreed that this cannot be achieved without a high reliability performance 10 of these technologies. Thus, efforts have been made through these last decades to 11 achieve high technological performances of renewable power plants. Among these 12 renewable sources, wind energy reliability was the centre of many studies. For 13 instance, Tavner et al. [5], Spinato et al. [6] and Faulstich et al. [7] performed 14 several studies about onshore and offshore wind turbines and their subassemblies failure rates. Since the environmental factors are more crucial in offshore wind 16 energy, several studies focused on the reliability analysis of offshore wind turbines. 17 For example, Faulstich et al. in [7] analyzed the downtime of offshore wind 18 turbines and how it affects its deployment. Van Bussel and Zaaijer in [8] studied 19 the reliability, availability and maintenance aspects of large-scale offshore wind 20 turbines. Negra et al. in [9] presented a list of factors that influence offshore 21 wind turbines generation. Other studies focused on reliability analysis of wind 22 turbine sub-assemblies in offshore environment [10, 11, 12]. 23

Afterwards, economic constraints of wind turbines' deployment were studied to assess the optimal investment in wind energy, including the so-called finding the optimal topology of wind turbines, which means finding the optimal number of wind turbines to be set up in a wind farm taking into account environmental, technological and economic constraints [13, 14].

Besides, when investigating with wind turbines' topology optimization, one also
deals with the optimal time to replace the system. Replacement of wind turbines

(and their subsystems) is generally one of the three scenarios characterized by 31 the end-of-life management of the power plant [15]: i.e., if the replacement is 32 due to a need to dismantle the system, we talk about general end-of-life of power 33 facilities. Another scenario is the lifetime extension of components in the system. 34 However, when the replacement of an energy system is decided with an aim to 35 upgrade the system performance under several project's constraints, we talk 36 about repowering [16]. Hence, wind farm managers may decide to upgrade 37 partially some components of the wind farm (electrical components, blades, 38 ...) or upgrade the wind farm completely including the replacement of wind 30 turbines and their foundations. In the first case, we talk about partial repowering, 40 whereas the full repowering covers the second case [17], which will be handled 41 in this paper. However, the major issues stated by the literature; when dealing 42 with repowering; are the economic constraints [15, 18, 19]. But in such projects, 43 environmental, legal and technological constraints should also be handled [20]. 44 Thus, the objective of this paper is to provide a decision support tool for energy 45 systems subject to repowering. The model provides the optimal time to repower 46 the system as well as the best topology of the repowered system under economic, 47 technological and warranty constraints. 48 This paper is structured as follows. Section 2 investigates the state-of-the-art of 49 repowering and life extension decision support tools. It also defines the research 50 gap. The methodology subsection formulates the problem stated. The optimiza-51 tion section defines the mathematical models for optimal repowering time and 52 optimal topology of the system (the best combination of components). Different 53 types and configurations of systems were studied in section 4. Afterwards, an 54

- ⁵⁵ example of offshore wind turbine subsystems is presented in section 5. We
- 56 conclude the paper with general remarks and an appendix explaining in depth
- 57 the cost and availability functions used in this paper.

58 2. Literature review & methodology

59 2.1. Literature review: repowering actions in decision models

The current state-of-arts of repowering energy systems in general and wind 60 turbines specifically are relatively poor compared to the general state-of-art of 61 improving technological, cost, and environmental performances of energy systems 62 and wind turbines. Nevertheless, authors focused on six main parameters when 63 dealing with decision models of systems subject to repowering. These parameters 64 are in general: "time; cost; availability and reliability; social; best topology; 65 safety and warranty constraints". 66 For instance, Ziegler et al. in [21] proposed a decision-making model in order 67 to optimize the switching time from lifetime extension to repowering of wind 68 turbines. Bezbradica et al. in [22] introduced a multi-criteria decision analysis 69 for repowering wind turbines based on several criteria such as technical, social 70 or economic ones. The objective of that paper was to investigate which of the 71 proposed scenario is adapted to the stakeholder goals. However, they did not 72 investigate all technical parameters such as the optimal repowering time nor 73 the optimal topology to adopt. Piel et al. in [23] provided a GIS (geographic 74 information system) decision based model to assess the feasibility of repowering 75 the German wind fleet. Other authors from the literature also investigated 76 repowering based decision models as well as decision support tools that assess 77 lifetime extension of systems. The main chosen parameters in the literature are 78

79 listed in Table 1.

Reference	Parameters							
	Time	Cost	Reliability / availability	Social / legal	Safety / warranty	Topology	Environmental	
[24]	x	x	x	x				
[22]		x	x	x			x	
[23]		x	x			x		
[25]		x						
[26]		x		x				
[27]	x	x						
[28]		x	x	x		x	x	
[29]		x	х		x			
[30]	x	x						
[31]		x	x	x				
[32]		x						
[33]		x		x			x	
[34]		x		x				
[16]		x	х			x		
[35]			x			x		
[36]		x	x	x				
[37]				x			x	
[38]	x	x						
[39]		x	x			x		
[40]		x	x					
[41]	x	x	x					
This paper	x	x	x		x	x		

Table 1: Parameters of repowering based decision models presented in the literature. Literature was extended to repowering, replacement and decommissioning based decision support tools for offshore and onshore systems.

As seen in this table, repowering or replacement based decision support 80 tools are mostly cost oriented. This is generally due to the fact that decision 81 support tools involve ad-hoc assessment of costs of each available strategy [42]. 82 Afterward, the technical criteria (reliability, availability, performance...) come in 83 the second order when choosing the most important parameter to be included in 84 the decision support tool. 85

In general, due to the rising interest in repowering, replacement, and decom-86 missioning with an upgrade aim in offshore or onshore systems. It is generally 87 agreed that the selected parameters for the decision support model should be 88 economic, technological, social, legal, environmental and should finally cover 89 safety concerns. This was highlighted in the short literature review presented in 90

⁹¹ Table 1 and resumed by Martins et al. in [42] for oil and gas energy systems.

Thus, the contribution of this paper to the literature is the introduction of the time parameter to the top chosen parameters in a repowering based decisionsupport tool. This parameter is crucial due to its importance in project management and during the decision making process. An asset's owner should be able to know at any time what would be the next life cycle step of his project: enhance the performance for life extension of the existing project, keep existing assets of the project until failure or until reaching critical safety concerns or repowering the old project [41].

Besides the time parameter, economic, technological, safety, and topology concerns were included in this decision-support tool. At this step, stakeholders and environmental parameters were not included in this first step decision support tool and should be investigated further in the future.

Thus, to the best of authors' knowledge, no study on a decision making model 104 that bounds optimal repowering time, technical, safety and economic concerns 105 of energy systems has been published. Also, in the real-world, each component 106 of the system has different versions available in the market, so choosing the best 107 version of components (also known as best topology) can be one of the valuable 108 and practical issues in repowering the system. As far as we know, there is no 109 study on this concern with respect to cost, availability, and safety constraint for 110 a system. 111

Thus, we aim in this paper to complement previous studies made in this field and provide the following contributions:

- Find the optimal repowering topology to upgrade the energy system under performance, safety and cost constraints.
- Find the optimal repowering time to upgrade the system under the previous constraints.
- Provide a decision-making tool based on four full independent repowering
 strategies.

In this paper, four different strategies of repowering systems are presented. These 120 strategies are independent but are tested under the same cost, warranty and 121 performance constraints. Costs are an important criterion for a decision-maker, 122 the cost function is going to be minimized in the first strategy by considering a 123 lower bound for the availability and safety constraints. In the second strategy, 124 the availability of the system will be maximized by considering the limited 125 budget and a fixed safety constraint. In the third and fourth strategies, two 126 joint functions of cost and availability functions will be optimized under safety 127 constraints. To do so, mathematical models are provided next for series, parallel 128 as well as for series-parallel systems. 129

130 2.2. Methodology

Let's study a system of n components. Each component within this system 131 has various versions with different lifetimes and costs' versions available in 132 the market. The lifetime for the j-th version of i-th component (denoted 133 as $j^{(i)}$ -component) is $X_i^{(i)}$, where $j = 1, 2, \ldots, v_i$, and v_i is the number of 134 versions available in the market for the *i*-th component, and i = 1, 2, ..., n. The 135 density and the distribution functions for the $j^{(i)}$ -component are $f_i^{(i)}(\cdot)$ and 136 $F_i^{(i)}(\cdot)$, respectively. Suppose that the k_i -th version of the *i*-th component $(k_i^{(i)}$ -137 component) is selected, then the lifetime of the original system can be denoted by 138 $\phi(X_{k_1}^{(1)}, X_{k_2}^{(2)}, \dots, X_{k_n}^{(n)})$, where $\phi(., ., .)$ is the structure function. Thus, for series 139 system; $\phi(X_{k_1}^{(1)}, X_{k_2}^{(2)}, \dots, X_{k_n}^{(n)}) = \min\{X_{k_1}^{(1)}, X_{k_2}^{(2)}, \dots, X_{k_n}^{(n)}\}$, and for parallel 140 system; $\phi(X_{k_1}^{(1)}, X_{k_2}^{(2)}, \dots, X_{k_n}^{(n)}) = \max\{X_{k_1}^{(1)}, X_{k_2}^{(2)}, \dots, X_{k_n}^{(n)}\}$. Afterwards, a 141 policy similar to the age replacement one is applied in this model. Replacement 142 policy is one of the most common policies when maintaining a system. In such 143 policy, the system undergoes a replacement at failure or at age T, whatever 144 occurs first. Several authors from the state of art assessed the optimal age 145 replacement based strategies, see [43, 44, 45, 46]. In this work, the system is being 146 repowered either at a constant time T (T > 0), or at $\phi(X_{k_1}^{(1)}, X_{k_2}^{(2)}, \ldots, X_{k_n}^{(n)})$, 147 whichever occurs first. Moreover, repowering at age $\phi(X_{k_1}^{(1)}, X_{k_2}^{(2)}, \dots, X_{k_n}^{(n)})$ is 148 called non-planned repowering and repowering at age T is denoted planned 149

repowering. Thus, the system's lifetime is $X_T = \min\{T, \phi(X_{k_1}^{(1)}, X_{k_2}^{(2)}, \dots, X_{k_n}^{(n)})\}.$ For a parallel system we have $X_T = \min\{T, \max\{X_{k_1}^{(1)}, X_{k_2}^{(2)}, \dots, X_{k_n}^{(n)}\}\}$, and for a series system $X_T = \min\{T, \min\{X_{k_1}^{(1)}, X_{k_2}^{(2)}, \dots, X_{k_n}^{(n)}\}\}.$

One of the main goals of this paper is to find the optimal value for repowering time T by considering four objective functions under the selected constraints. Also, the safety constraint should be considered. This constraint is implemented by bounding the probability that there is not any unplanned repowering in the interval [0, z], where z > 0 is a constant. If the bound is denoted by p_0 , this constraint means that with $100p_0\%$ confidence, the system has not any unplanned repowering from 0 up to z units time (a unit time can be in years, months, days, ..., depending on the data). This constraint is expressed as following.

$$L(T, z) \equiv (\bar{F}_{X_T}(T))^m \bar{F}_{X_T}(z - mT) > p_0,$$
(1)

with $\bar{F}_{X_T}(t)$ is the reliability function of X_T , $m = [\frac{z}{T}]$ and $0 < p_0 < 1$ and [x]shows the integer part of x. This constraint shows that there is no non-planned failure from the installation of the system until z units time with the confidence of at least $100p_0\%$. In practice, this safety constraint is generally linked with warranty issues.

In maintenance theory, there are some important metrics such as cost, safety and performance. In this paper, the long-term cost function, availability function and safety constraint are considered. The long-run average cost (expected cost in a cycle per expected lifetime of the system of a cycle) is widely used in the literature and was introduced by [47]. For examples, see [48, 49].

For the proposed model, the long-run average cost as a cost function is given by equation (2).

$$Cost(T) = \frac{c_F + (c_T - c_F)\bar{F}_{X_T}(T)}{\int_0^T \bar{F}_{X_T}(t)dt},$$
(2)

where c_F is the cost of repowering at $\phi(X_{k_1}^{(1)}, X_{k_2}^{(2)}, \ldots, X_{k_n}^{(n)})$ and c_T is the cost at age T. The objective here is to minimize (2) and find T_{cost}^* so that Cost(T)needs to be minimized at $T = T_{cost}^*$. By using the results of [47] and [50], the following remark is presented.

Remark:

Suppose the hazard function of a system is denoted by $r_{X_T}(t) = \frac{f_{X_T}(t)}{\overline{F}_{X_T}(t)}$. Then the optimal T^*_{cost} to minimize Cost(T) satisfies

$$r_{X_T}(T) \int_0^T \bar{F}_{X_T}(t) dt + \bar{F}_{X_T}(T) = \frac{c_F}{c_F - c_T}.$$
(3)

If it is assumed further that $r_{X_T}(t)$ is increasing, it will lead to the increase of the left side of (3), and an optimum solution exists, and perhaps infinite. If there is no solution to (3), then the optimal policy is "replacement only when failure occurs". Finally, a unique and finite solution to equation (3) exists if the function $r_{X_T}(t)$ is both continuous and strictly increasing to infinity.

When dealing with performance metrics, the availability function is also an important index. The availability of a system is well defined in the state of art and is defined in some ways: interval availability, pointwise availability, multiple cycle availability, limiting interval availability [51, 52]. We thus consider in this paper the limiting interval availability, $Ava = \frac{MTTF}{MTTF+MTTR}$, where MTTF is the mean time to failure and MTTR is the mean time to replace the system. The MTTF and MTTR can be calculated as following

$$MTTF = E(X_T) = \int_0^T \bar{F}_{X_T}(t)dt,$$
$$MTTR = \mu_F F_{X_T}(T) + \mu_T \bar{F}_{X_T}(T).$$

170 Thus, the limiting interval availability for the proposed model can be written as

$$Ava(T) = \frac{\int_0^T \bar{F}_{X_T}(t)dt}{\int_0^T \bar{F}_{X_T}(t)dt + \mu_F + (\mu_T - \mu_F)\bar{F}_{X_T}(T)},$$
(4)

where μ_F and μ_T are the expected times' lengths to set up the new system in non-planned repowering as well as in planned repowering, respectively. Thus, one wants to maximize (4) and finds T^*_{ava} such that Ava(T) needs to be maximized at $T = T^*_{ava}$. The optimal solution can also be generated theoretically in the same manner as T^*_{cost} (mentioned in the remark). In this paper, four strategies are presented in the following in order to find the optimal topology as well asthe optimal time for repowering a system.

178 3. Optimization

The optimization framework for the proposed model developed is presented 179 in this section. In the following subsections, the decision making model based on 180 four strategies is presented. Each strategy provides the optimal repowering time 181 as well as the best topology for the system. The best/optimal topology provides 182 the optimal combination of components that respects cost, availability and 183 safety constraints. The decision-maker should decide which of these strategies is 184 appropriate based on the situation. Afterwards, a comparison between the four 185 strategies is presented within the case study section. 186

187 3.1. Optimal repowering time

The optimal time needed to repower the system for each combination of components is explained in this section, whereas the methodology for finding the best combination of components is presented in the next section.

Strategy 1) In this strategy, the cost function is minimized by considering two constraints: The availability of the system is more than a desired level (A^*) and there is no non-planned repowering for z units time with at least $100p_0\%$ confidence. Therefore

$$\underline{\text{minimize}} \quad Cost(T) = \frac{c_F + (c_T - c_F)F_{X_T}(T)}{\int_0^T \bar{F}_{X_T}(t)dt},\tag{5}$$

subject to

$$Ava(T) = \frac{\int_0^T \bar{F}_{X_T}(t)dt}{\int_0^T \bar{F}_{X_T}(t)dt + \mu_F + (\mu_T - \mu_F)\bar{F}_{X_T}(T)} > A^*, \quad (6)$$

$$P(T,z) = (\bar{F}_{X_T}(T))^m \bar{F}_{X_T}(z-mT) > p_0,$$
(7)

where $0 < A^* < 1$ is the desired level for availability of the system, $m = \left[\frac{z}{T}\right]$ and $0 < p_0 < 1$. The case $A^* = 0$ shows that the cost function is only considered in optimization and the availability function is not taken into account. As a
result, if the cost function is more important for the decision-maker and also
there is a minimum availability target to reach, then the decision-maker should
select Strategy 1.

Strategy 2) In this strategy, the availability function is maximized by considering two constraints: The cost of the system is less than the desired level (C^*) and there is no non-planned repowering for z units time with at least 100 p_0 % confidence. Therefore

$$\underline{\text{maximize}} \quad Ava(T) = \frac{\int_0^T \bar{F}_{X_T}(t)dt}{\int_0^T \bar{F}_{X_T}(t)dt + \mu_F + (\mu_T - \mu_F)\bar{F}_{X_T}(T)}, \quad (8)$$

subject to

$$Cost(T) = \frac{c_F + (c_T - c_F)F_{X_T}(T)}{\int_0^T \bar{F}_{X_T}(t)dt} < C^*,$$
(9)

$$P(T, z) = (\bar{F}_{X_T}(T))^m \bar{F}_{X_T}(z - mT) > p_0,$$
(10)

where $C^* > 0$ is the desired level for the cost of the system, $m = \left[\frac{z}{T}\right]$ and 205 $0 < p_0 < 1$. The case $C^* = \infty$ shows that the availability function is only 206 considered in optimization and the cost function is not taken into account. As a 207 result, if the availability of the system is more important for decision-maker and 208 there is also a limited budget, then the decision-maker should select Strategy 2. 209 Strategy 3) In this strategy, a joint function AC(T) composed of cost and 210 availability functions is maximized. In [53] was introduced a similar parameter 211 based on combined availability and cost functions, as $AC(T) = \frac{Ava(T)}{Cost(T)}$. In fact, 212 this criterion shows the system's availability per unit of cost. We also consider 213 in this strategy an additional safety constraint. Therefore 214

$$\underline{\text{maximize}} \quad AC(T) = \frac{Ava(T)}{Cost(T)},\tag{11}$$

subject to

$$P(T,z) = (\bar{F}_{X_T}(T))^m \bar{F}_{X_T}(z-mT) > p_0, \qquad (12)$$

where $m = \left[\frac{z}{T}\right]$ and $0 < p_0 < 1$. Thus, if the availability and the cost of the

system are both important for decision-maker, then Strategy 3 is an appropriateselection.

Strategy 4) This strategy considers min-norm methods. Lin [54] presented min-norm methods for optimization in multi-objective problems. The general description based on the concept of least distance and weighted Holder norms can be described as following

minimize
$$L(x) = \left\{ \sum_{i} (w_i |J_i(x) - G_i(x)|)^p \right\}^{1/p}$$

where G_i is the goal (the target value or demand level) for objective i and w_i a positive weight on objective i; p is a positive integer (1, 2, ...). None of the weights implemented here are null, since if any objective gets a zero weight, it is substantially outweighed and should have been excluded. The practitioners are free to choose any value for the parameter p depending on the running problem. The case of $p = \infty$, when p if infinite, is also reformulated using the Tchebycheff (or max-) norm and is described as:

minimize
$$L(x) = \max_{i}(w_i|J_i(x) - G_i(x)|).$$

This paper presents two objective functions; cost and availability functions. In view of the range, these two functions are different and thus are rescaled by dividing these functions by the optimal solutions. Therefore, for the fourth strategy in case of $p = \infty$

minimize

$$L(T) = \max\left\{w_1 \frac{Cost(T) - \min Cost(T)}{\min Cost(T)}, (1 - w_1) \frac{\max Ava(T) - Ava(T)}{\max Ava(T)}\right\}$$
(13)

subject to

$$P(T,z) = (\bar{F}_{X_T}(T))^m \bar{F}_{X_T}(z-mT) > p_0,$$
(14)

where $0 \le w_1 \le 1$ is the weight for cost function and $1 - w_1$ is the weight for availability function. It is worth mentioning that availability is reported within [0,1], and the proposed cost function is supposed to handle all Capex and Opex expenditures and thus there is no limit for this function so it is within $[0, \infty]$. Accordingly, to make a balance between two objective functions by integrating them into a unified objective function, we changed the scales of both objective functions into [0,1].

The value $w_1 = 0$ shows that the cost function is only considered, whereas w = 1 shows the case when only the availability function is considered. Therefore, if the availability and the cost of the system are important for decision-maker but with specific weights, then Strategy 4 is a suitable selection.

244 3.2. Optimal combinations

This paper considers a system that is composed of n components and each of the components has various versions with different lifetimes and system's engineer wants to select the optimal combination of components. Suppose the number of versions for the *i*-th component is v_i , therefore the number of combinations is

$$NoC = \prod_{i=1}^{n} v_i.$$

In this section, the aim is to find the best combination between NoC combinations. For each combination based on four strategies that are presented in section 3.1, an optimal time for repowering the system is calculated, therefore for *s*-th strategy we have:

$$T^{(s),1}, T^{(s),2}, \dots, T^{(s),Noc},$$

where s = 1, 2, 3, 4, and $T^{(s),i}$ is the optimal repowering time for the *i*-th combination based on the *s*-th strategy. At this step, the best combination for each strategy is assessed. For each strategy, the best combination is selected as follows:

Strategy 1) The *b*-th combination is the best combination if:

$$Cost(T^{(1),b}) < Cost(T^{(1),i}), \quad \forall i \in \{1, 2, \dots NoC\}.$$

Strategy 2) The *b*-th combination is the best combination if:

$$Ava(T^{(2),b}) > Ava(T^{(2),i}), \quad \forall i \in \{1, 2, \dots NoC\}.$$

Strategy 3) The *b*-th combination is the best combination if:

$$AC(T^{(3),b}) > AC(T^{(3),i}), \quad \forall i \in \{1, 2, \dots NoC\}.$$

Strategy 4) Finding the best combination in this strategy depends on w_1 , so that

• For $0 < w_1 < 1$, the *b*-th combination is the best combination if:

$$L(T^{(4),b}) < L(T^{(4),i}), \quad \forall i \in \{1, 2, \dots NoC\}.$$

• For $w_1 = 0$, the *b*-th combination is the best combination if:

$$Ava(T^{(4),b}) > Ava(T^{(4),i}), \quad \forall i \in \{1, 2, \dots NoC\}.$$

• For $w_1 = 1$, the *b*-th combination is the best combination if:

$$Cost(T^{(4),b}) < Cost(T^{(4),i}), \quad \forall i \in \{1, 2, \dots NoC\}.$$

261 4. Types of systems

In this work, three types of systems are considered; parallel, series and series-parallel systems and the cost and availability functions are described.

264 4.1. Parallel system

The lifetime of the parallel system by considering $k_i^{(i)}$ -component, is $X_T = \min\{T, \max\{X_{k_1}^{(1)}, X_{k_2}^{(2)}, \ldots, X_{k_n}^{(n)}\}\}$. For $T \ge t$, the distribution function of X_T is given by $F_{X_T}(t) = \prod_{i=1}^n F_{k_i}^{(i)}(t)$. The cost function defined in equation (2) can be rewritten as follows

$$Cost(T) = \frac{c_T + (c_F - c_T) \prod_{i=1}^n F_{k_i}^{(i)}(T)}{T - \int_0^T \prod_{i=1}^n F_{k_i}^{(i)}(t) dt},$$
(15)

and upon (4), the availability of a parallel system (availability function of T) is

$$Ava(T) = \frac{T - \int_0^T \prod_{i=1}^n F_{k_i}^{(i)}(t)dt}{T - \int_0^T \prod_{i=1}^n F_{k_i}^{(i)}(t)dt + \mu_T + (\mu_F - \mu_T) \prod_{i=1}^n F_{k_i}^{(i)}(T)},$$

and for the safety constraint

$$P(T,z) = (1 - \prod_{i=1}^{n} F_{k_i}^{(i)}(T))^m (1 - \prod_{i=1}^{n} F_{k_i}^{(i)}(z - mT)) > p_0$$

270 4.2. Series system

The series-system's lifetime considering the $k_i^{(i)}$ -component is $X_T = \min\{T, \min\{X_{k_1}^{(1)}, X_{k_2}^{(2)}, \dots, X_{k_n}^{(n)}\}\}$. For $T \ge t$, the reliability function of X_T is $\bar{F}_{X_T}(t) = \prod_{i=1}^n \bar{F}_{k_i}^{(i)}(t)$. Using equation (15), the cost function for series system is

$$Cost(T) = \frac{c_F + (c_T - c_F) \prod_{i=1}^n \bar{F}_{k_i}^{(i)}(T)}{\int_0^T \prod_{i=1}^n \bar{F}_{k_i}^{(i)}(t) dt},$$

and from equation (4), the availability of the system (availability function of T) is

$$Ava(T) = \frac{\int_0^T \prod_{i=1}^n \bar{F}_{k_i}^{(i)}(t)dt}{\int_0^T \prod_{i=1}^n \bar{F}_{k_i}^{(i)}(t)dt + \mu_F + (\mu_T - \mu_F) \prod_{i=1}^n \bar{F}_{k_i}^{(i)}(T)}$$

As for the safety constraint

$$P(T,z) = \prod_{i=1}^{n} (\bar{F}_{k_i}^{(i)}(T))^m \bar{F}_{k_i}^{(i)}(z-mT) > p_0.$$

273 4.3. Series-Parallel system

In the field of system dependability, a typical series-parallel system is composed of multiple subsystems in series, and each of them are in parallel. As mentioned in [55], series-parallel systems are a large type of multi-component systems and can describe concisely the basic features of any complex system.

- ²⁷⁸ Let's suppose there are three subsystems that are in series. Each subsystem
- is formed by parallel components. Besides, the lifetime of the k_i -th version of
- the *i*-th component in the 1^{st} subsystem is $X_{k_i}^{(i)}$ with a distribution functions
- 281 $F_{X_{k_i}}^{(i)}(\cdot)$, for $i = \{1, 2, \dots, d_1\}$. In the second subsystem, $Y_{k_i}^{(i)}$ is the lifetime

of its components with a distribution functions $F_{Y_{k_i}}^{(i)}(\cdot)$, for $i = \{1, 2, ..., d_2\}$. In the third subsystem, $Z_{k_i}^{(i)}$ is the lifetime of correspond components with a distribution function $F_{Z_{k_i}}^{(i)}(\cdot)$, for $i = \{1, 2, ..., d_3\}$, where d_1, d_2 , and d_3 are the number of components in the first, second and third subsystems, respectively. Therefore

$$X_T = \min\{T, \max_{1 \le i \le d_1} X_{k_i}^{(i)}, \max_{1 \le i \le d_2} Y_{k_i}^{(i)}, \max_{1 \le i \le d_3} Z_{k_i}^{(i)}\}.$$

287 for $T \ge t$, one have

$$\bar{F}_{X_T}(t) = (1 - \prod_{i=1}^{d_1} F_{X_{k_i}}^{(i)}(t))(1 - \prod_{i=1}^{d_2} F_{Y_{k_i}}^{(i)}(t))(1 - \prod_{i=1}^{d_3} F_{Z_{k_i}}^{(i)}(t))$$
(16)

²⁸⁸ The mean time to repower the system can be expressed as

$$E(X_T) = \int_0^T (1 - \prod_{i=1}^{d_1} F_{X_{k_i}}^{(i)}(t))(1 - \prod_{i=1}^{d_2} F_{Y_{k_i}}^{(i)}(t))(1 - \prod_{i=1}^{d_3} F_{Z_{k_i}}^{(i)}(t))dt.$$
(17)

By substituting (16) and (17) in equation (2), the expected cost rate was generated and was presented in (G.1). The MTTR for the proposed policy is

$$MTTR = \mu_F + (\mu_T - \mu_F)(1 - \prod_{i=1}^{d_1} F_{X_{k_i}}^{(i)}(T))(1 - \prod_{i=1}^{d_2} F_{Y_{k_i}}^{(i)}(T))(1 - \prod_{i=1}^{d_3} F_{Z_{k_i}}^{(i)}(T)),$$
(18)

and the MTTF for the proposed policy is

$$MTTF = \int_0^T (1 - \prod_{i=1}^{d_1} F_{X_{k_i}}^{(i)}(t))(1 - \prod_{i=1}^{d_2} F_{Y_{k_i}}^{(i)}(t))(1 - \prod_{i=1}^{d_3} F_{Z_{k_i}}^{(i)}(t))dt, \quad (19)$$

²⁹² Upon substituting (18) and (19) into equation (4), the series-parallel system's ²⁹³ availability considered as a function of T is obtained which is given in (G.2). ²⁹⁴ The terms in (G.1) and (G.2) are supposed to ease the numerical computation ²⁹⁵ of such metrics as it will be presented in the following example.

²⁹⁶ 5. Case study

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We retake the example of a geared wind-turbine (WT) considered as a seriesparallel system presented in [56, 20]. In this paper, was provided an aggregate
of four series-parallel sub-systems from the offshore WT is studied and showed in Fig. 1.



Figure 1: Configuration of the studied system.

This case study is configured by four major components $\{S_1, S_2, S_3, S_4\}$. These components have various versions (variants) available in the market. These versions can be catalogued based on their cost and reliability parameters. Besides, as seen in Table 2, versions can be classified by their failure rate, reliability and cost of planned & non-planned repowering. Then, the decision-maker should select a version of each component to compose its system, thus $NoC = 5^4$.

Figure 2(a) shows the probability density functions of the different available versions of component S1. Figures 2(b-d) are also for S2, S3, and S4 components' versions available in the market. Figure 2(a) depicts that Version 5 of component S1 has a noticeable difference in mean lifetime when compared with other versions of the same component. It is then a good candidate to be among the optimal combination. The same statement is not expressed for the other components' versions based on Figures 2(b-d).

The main problem in such cases is finding the best or optimal combination (topology) of components and the optimal repowering time for such system.



(a) Lifetime distribution for each version of (b) Lifetime distribution for each version of component S1.

component S2.



(c) Lifetime distribution for each version of (d) Lifetime distribution for each version of component S3. component S4.

Figure 2: Lifetime distribution of each version of components S1, S2, S3, and S4.

The optimal time to repower should be calculated taking into account four 316 strategies presented in Section 3.1, and the best combination of components 317 should be optimized by considering the suitable strategy. The studied system is 318 a series-parallel system so the equations (16)-(19), (G.3) and (G.4) are used in 319 optimization. 320

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According to Figure 1, the system is composed of three subsystems such as

		versions				
components	Data parameters	Ver1	Ver2	Ver3	Ver4	Ver5
	β	1.3	1.1	1.5	2	3.5
	λ	4.57	4.00	8.57	10.27	14.27
	c_T	24000	20000	18000	25000	30000
S1	μ_T	12	12	12	12	12
	c_F	68400	64400	62400	69400	74400
	μ_F	36	36	36	36	36
	β	1	1.1	1.2	1.3	1.5
	λ	5.71	5.71	5.71	6.85	7.42
	c_T	30000	32000	31200	32000	32500
S2	μ_T	52	52	52	52	52
	c_F	36000	38000	37200	38000	38500
	μ_F	76	76	76	76	76
	β	1.1	1.1	1.2	1.4	1.4
	λ	8.00	8.56	8.56	9.13	9.70
	c_T	1100	1200	1200	1200	1300
S3	μ_T	6	6	6	6	6
	c_F	37100	37200	37200	37200	37300
	μ_F	30	30	30	30	30
	β	1.3	1.1	1.2	1.4	1.4
	λ	17.12	11.42	14.93	22.83	17.12
	c_T	34000	30000	36000	45000	45000
S4	μ_T	60	60	60	60	60
	c_F	288400	284400	290400	299400	299400
	μ_F	108	108	108	108	108

Table 2: Example data (the units are: λ (year), $c_T(\in)$, $\mu_F(\text{hours}), c_F(\in), \mu_T(\text{hours})$).

³²² $d_1 = 1, d_2 = 1$ and $d_3 = 2$ (The 1st subsystem is composed of (S1), the 2nd ³²³ subsystem of component (S2), and the latter has both components (S3, S4)).

Besides, each component has 5 versions in the market.

The lifetimes of the *i*-th versions for components S1 and S2 are X_i and Y_i . They follow a Weibull distributions with parameters (λ_i, β_i) , (λ'_i, β'_i) , respectively. Also, the lifetimes of the *i*-th versions of S3 and S4 subsystems are $Z_i^{(1)}$ and $Z_i^{(2)}$. They follow a Weibull distributions with parameters $(\lambda''_{i,1}, \beta''_{i,1})$, and $(\lambda''_{i,2}, \beta''_{i,2})$, respectively, where i = 1, 2, ..., 5.

For t < T, and by considering the *i*-th version for each component

$$\bar{F}_{X_T}(t) = e^{-\left(\frac{t}{\lambda_i}\right)^{\beta_i} - \left(\frac{t}{\lambda_i'}\right)^{\beta_i'}} \left\{ 1 - \prod_{j=1}^2 \left(1 - e^{-\left(\frac{t}{\lambda_{i,j}'}\right)^{\beta_{i,j}''}}\right) \right\},$$

331 and

$$E(X_T) = \int_0^T e^{-\left(\frac{t}{\lambda_i}\right)^{\beta_i} - \left(\frac{t}{\lambda_i}\right)^{\beta_i'}} \left\{ 1 - \prod_{j=1}^2 \left(1 - e^{-\left(\frac{t}{\lambda_{i,j}''}\right)^{\beta_{i,j}''}}\right) \right\} dt.$$

Then, cost and availability functions can be determined and are presented in (G.3) and (G.4), respectively. To show the obtained results, components' parameters in Table 2 are used. In this table, each version of the four components is fully characterized by: Weibull distribution with parameters (β , λ in years), costs of planned and un-planned repower (c_T and c_F in \in), and respectively time to planned and un-planned repower (μ_F and μ_T in hours).

Thus, the aim is to generate the optimal time to repower each combination (topology) of components' versions, then the best topology based on the four strategies is selected accordingly.

The "DEoptim" package in R is used in order to obtain the numerical results. In this package, the Differential Evolution (DE) algorithm is implemented for global optimization of a real-valued function of a real-valued parameter vector as described in [57]. DE is a search heuristic introduced by [58]. Its noticeable performance as a global optimization method on continuous numerical minimization problems has been extensively studied and explored; see [59]. DE belongs is a genetic algorithm which uses biology-inspired operations of crossover,

mutation, and selection of a population so that it minimizes the objective 348 function over the course of successive generations (see [60]). Same as the rest of 349 evolutionary algorithms, DE solves optimization problems using the evolution of a 350 population of candidate solutions using operators such as alteration and selection. 351 DE also uses floating-point encoding instead of bit-string encoding of population 352 members. Arithmetic operations are also used instead of logical operations in 353 mutation. This heuristic is particularly well-suited for global optimum search 354 of a real-valued function of real-valued parameters. Besides, it does not require 355 constraints on the function to be either continuous or differentiable. 356

The main results for numerical computations are presented in Table 3. The parameters for safety constraints (p_0, z) are determined by the decision-maker. If we consider z = 4 years and $p_0 = 0.6$. Then the decision-maker would like that the system does not have any unplanned repowering from 0 up to 4 years with at least 60% confidence.

	Best combination				
	$\{S1, S2, S3, S4\}$	T^*	$Cost(T^*)$	Ava (T^*)	$P(T^*, z)$
Strategy 1	$\{5,5,5,4\}$	5.73	56213.04	0.9955	0.7199
Strategy 2	$\{5,5,5,4\}$	7.77	58000	0.9958	0.7199
Strategy 3	$\{5,5,5,1\}$	4.88	55743.86	0.9951	0.7063
Strategy 4 ($w_1=0$)	$\{5,5,5,4\}$	10.18	61256.78	0.9958	0.7199
Strategy 4 ($w_1=0.1$)	$\{5,2,2,4\}$	7.69	69609.56	0.9951	0.6147
Strategy 4 ($w_1=0.5$)	$\{5,2,2,4\}$	7.25	69509.44	0.9950	0.6147
Strategy 4 ($w_1=0.75$)	$\{5,2,2,4\}$	7.13	69497.4	0.9950	0.6147
Strategy 4 ($w_1=0.95$)	$\{5,2,2,4\}$	7.05	69493.22	0.9950	0.6147
Strategy 4 $(w_1=1)$	$\{5,5,5,1\}$	4.87	55743.68	0.9951	0.7063

Table 3: Results for case study.

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• Strategy 1: the cost function is minimized subject to a threshold level for the availability function (0.9955) and safety constraints. The level for availability can be selected by the decision-maker. By using equations

 $(5)-(7), \{5, 5, 5, 4\}$ is the best combination of components. The optimal 365 time is $T^* = 5.73$ (years) and the minimum cost function is 56213.04 366 (euros). The availability of the system in this situation is 0.9955 and 367 the probability of non-planned repowering for not occurring in the time 368 interval [0, 4] is 0.7199. Therefore we must select version "5" of components 369 {S1, S2, S3} and version "4" of component 'S4'. If the system don't fail 370 before time T = 5.73, then a planned repowering strategy is to be done at 371 time T = 5.73 so that the cost function subject to the constraints can be 372 minimized. Also, with 71.99% confidence, the system will not have any 373 unplanned repowering from 0 up to 4 years. Figure 3 shows cost function 374 for the best combination of this strategy. This figure also shows feasible 375 region by considering the mentioned constraints. 376



Figure 3: Best topology selected from strategy 1-{5,5,5,4}.

• Strategy 2: the availability function is maximized under a threshold level 377 for the cost function (58000 \in) and safety constraints. By using equations 378 (8)-(10), $\{5, 5, 5, 4\}$ is the best combination of components. The optimal 379 time is $T^* = 7.77$ (years) and the maximum availability function is 0.9958, 380 the cost of the system in this situation is 58000 and the probability of 381 non-planned repowering for not occurring in the time interval [0, 4] is 382 0.7199. Therefore we must select version "5" of components {S1, S2, S3} 383 and the 4^{th} version of component 'S4', and if the system don't fail before 384

time T = 7.77, a planned repowering is to be done at time T = 7.77so that we can maximize the availability of the system subject to the described constraints. Figure 4 shows the availability function for the optimal combination for this strategy. This figure also shows feasible region by considering the mentioned constraints.

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Figure 4: Best topology selected from strategy 2-{5,5,5,4}.

• Strategy 3: the AC(T) function is maximized subject to safety constraints. 390 By using equations (13) and (14), $\{5, 5, 5, 1\}$ is the best combination of 391 sub-systems. The optimal time is $T^* = 4.88$ (years), the maximum AC(T)392 function is 1.78e - 05, the availability and cost functions of the system 393 in this situation are 55743.86 and 0.9951, respectively. The probability 394 of non-planned repowering for not occurring in the time interval [0, 4] is 395 0.7063. Therefore we must select version "5" of components {S1, S2, S3} 396 and the 1^{st} version of component 'S4', and if the system don't fail before 307 time T = 4.88, the planned repowering is to be done at T = 4.88 in order 398 to respect the strategy constraints. Therefore we must select version "5" 399 of components {S1, S2, S3} and the version "4" of component 'S4', and if 400 the system don't fail before time T = 4.88, the planned repowering should 401 be made at T=4.88 in order to maximize AC(T) function. Also, with an 402 70.63% confidence, the system will not have any unplanned repowering 403 from 0 up to 4 years. Figure 5 shows AC function for the best combination 404



Figure 5: Best topology selected from strategy $3-\{5,5,5,1\}$.

407	• Strategy 4, $L(T)$ function is minimized subject to safety constraints. Ac-
408	cording to the value of w_1 , different optimal repowering times and best
409	combinations of components can be provided. Thus:
410	- if $w_1 = 0$: the best combination of the components to be chosen is
411	the 5-th version of the three first components and the 4-th version of
412	the last component $({5,5,5,4})$, also $T^* = 10.18$.
413	– if $w_1 = 1$: the best topology to be picked is the topology ({5,5,5,1}) ,
414	also $T^* = 4.87$.
415	- if $0 < w_1 < 1$: the best combination is $\{5,2,2,4\}$. Besides, a sensitivity
416	study was performed to assess the influence of w_1 on the optimal
417	time to repower. Thus, as presented in Table 3, T^* decreases as w_1
418	increases, so when the weight of the cost function decreases (the weight
419	of availability function increases, $1 - w_1$), the planned repowering time
420	is higher and the cost function at optimal repowering time decreases.
421	However, no changes are noticed for availability and probability of
422	non-planned repowering parameters. Figure 6 shows ${\cal L}(T)$ function
423	with $w_1 = 0.5$ for the best combination for this strategy. This figure

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Figure 6: Best topology selected from strategy 4 with w = 0.5-{5,2,2,4}.

Figures 7 and 8 are drawn for comparison purposes between the presented strate-425 gies. They show the optimal cost and availability for each strategy, respectively. 426 Figure 7 illustrates how the optimal cost is significantly affected by the strategies. 427 For example, the minimum and the maximum costs are 55743.68 and 69609.56, 428 which are respectively caused by the same strategy 4 with $w_1 = 1$ and $w_1 = 0.1$. 429 Moreover, based on Figure 8, it can be seen that although changing the strategy 430 has no significant effect on optimal availability (minimum is 0.9950 and maximum 431 is 0.9958), Strategies 2 and 4 with $w_1 = 0$ do have maximum availability. 432



Figure 7: Optimal cost for each strategy.



Figure 8: Optimal availability for each strategy.

433 6. Concluding Remarks

In the real world, there is no unrivaled solution for the optimal repowering topology in energy systems. Constraints such as costs and technological performances are the core of the decision-making tools for defining the optimal time and topology of repowering systems. Safety constraints are also crucial for warranty reasons in order to avoid replacing the system while it is still underwarranty.

This paper has developed a decision-making tool for energy systems in order to find the optimal repowering time and topology based on the following criterion:

Repower the energy system taking into account warranty, cost, reliability,
failure rates of several versions of the system's components available in the
market and their warranty characteristics.

• Consider in each case a cost function to minimize, an availability function to maximize, a joint function AC(T) to maximize and a multi-objective function L(T) to minimize.

The core of replacement strategies of wind energy systems are within repowering activities. One of the disadvantages of the proposed model is the fact that it does not consider social and stakeholders criteria in the repowering decision, nor environmental assessment of the chosen scenario was considered in this study. A natural next step will be the incorporation of these two parameters in the decision support tool.

Future studies will investigate other decommissioning scenarios of energy systems and how they affect the levelized cost of energy-LCOE, since this phase received little attention in the state of art of energy deployment and its sustainability. Another perspective will be to consider system dependencies, weather conditions and how it affects accessibility to the energy system. This paper consider full repowering problem, one may also consider in future works partial repowering and group maintenance.

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466 7. APPENDIX

⁴⁶⁷ In this section, expressions for the availability and cost functions are devel-⁴⁶⁸ oped.

The expected availability and cost functions for a series-parallel system can be determined as (G.1) and (G.2), respectively. The expected availability and cost functions for the case study can be determined as (G.3) and (G.4), respectively.

$$Cost(T) = \frac{c_F + (c_T - c_F)(1 - \prod_{j=1}^{d_1} F_{X_{k_i}}^{(i)}(T))(1 - \prod_{j=1}^{d_2} F_{Y_{k_i}}^{(i)}(T))(1 - \prod_{j=1}^{d_3} F_{Z_{k_i}}^{(i)}(T))}{\int_0^T \prod_{j=1}^n (1 - \prod_{j=1}^{d_1} F_{X_{k_i}}^{(i)}(t))(1 - \prod_{j=1}^{d_2} F_{Y_{k_i}}^{(i)}(t))(1 - \prod_{j=1}^{d_3} F_{Z_{k_i}}^{(i)}(t))dt}$$
(G.1)

•

$$Availability(T) = \int_{0}^{T} \prod_{j=1}^{n} (1 - \prod_{j=1}^{d_{1}} F_{X_{k_{i}}}^{(i)}(t))(1 - \prod_{j=1}^{d_{2}} F_{Y_{k_{i}}}^{(i)}(t))(1 - \prod_{j=1}^{d_{3}} F_{Y_{k_{i}}}^{(i)}(t))dt$$

$$\times \left[\int_{0}^{T} \prod_{j=1}^{n} (1 - \prod_{j=1}^{d_{1}} F_{X_{k_{i}}}^{(i)}(t))(1 - \prod_{j=1}^{d_{2}} F_{Y_{k_{i}}}^{(i)}(t))(1 - \prod_{j=1}^{d_{3}} F_{Z_{k_{i}}}^{(i)}(t))dt + \mu_{F} + (\mu_{T} - \mu_{F})\right]$$

$$(1 - \prod_{j=1}^{d_{1}} F_{X_{k_{i}}}^{(i)}(T))(1 - \prod_{j=1}^{d_{2}} F_{Y_{k_{i}}}^{(i)}(T))(1 - \prod_{j=1}^{d_{3}} F_{Z_{k_{i}}}^{(i)}(T))]^{-1}. \quad (G.2)$$

$$Cost(T) = \frac{c_F + (c_T - c_F)e^{-(\frac{T}{\lambda_i})^{\beta_i} - (\frac{T}{\lambda_i'})^{\beta_i'}} \left\{ 1 - \prod_{j=1}^2 (1 - e^{-(\frac{T}{\lambda_{i,j}'})^{\beta_{i,j}'}}) \right\}}{\int_0^T e^{-(\frac{t}{\lambda_i})^{\beta_i} - (\frac{t}{\lambda_i'})^{\beta_i'}} \left\{ 1 - \prod_{j=1}^2 (1 - e^{-(\frac{t}{\lambda_{i,j}'})^{\beta_{i,j}'}}) \right\} dt}.$$
 (G.3)

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