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Holographically Fabricated, Highly Reflective Nanoporous Polymeric Distributed Bragg Reflectors with Red, Green, and Blue Colors

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Abstract: We report holographic fabrication of nanoporous distributed Bragg reflector (DBR) films with periodic nanoscale porosity via a single-prism configuration. The nanoporous DBR films result from the phase separation in a material recipe, which consists of a polymerizable acrylate monomer and nonreactive volatile solvent. By changing the interfering angle of two laser beams, we achieve the nanoporous DBR films with highly reflective RGB colors. The reflection band of the nanoporous DBR films can be tuned by further filling different liquids into the pores inside the films, resulting in the color change accordingly. Experimental results show that such kind of nanoporous DBR films could be potentially useful for many applications, such as color filters and refractive index sensors.

Keywords: distributed Bragg reflector, nanoporous reflection grating, holographic fabrication, phase separation, refractive index sensing

A distributed Bragg reflector (DBR) [1] is a periodic structure formed from alternating dielectric layers that can be used to achieve near unit reflectance within a range of frequencies. By designing DBR films with different periods and refractive indices, one can selectively achieve reflection or transmission of specific wavelength of light [2]. In the past decades, the DBR films have been widely used in sensors [3], displays [4], lasers [5], LEDs [6] and other optoelectronic devices [7,8]. Thus far, the DBR films are typically fabricated via evaporation and coating techniques, such as physical/chemical deposition, spin-coating, Langmuir-Blodgett coating, etc. However, these techniques usually require complicated multi-step processes, long fabrication time, or costly facilities, hence limiting further development of DBR applications. Therefore, it is highly desired to develop a simple, fast and cost-effective technique to fabricate the DBR films.

Along this line, the laser holographic technique could be the best option since it provides a single-step and rapid fabrication process for highly ordered structures. A typical holographic process starts with a photopolymerizable prepolymer material. Upon holographic exposure, the cured photopolymer gives rise to periodic index modulations that are governed by the interference pattern of coherent laser beams [9,10]. Depending on the interference configurations, one-, two-, or three-dimensional (1D, 2D or 3D) periodic structures can be created. By adding the nonreactive liquid crystals (LCs) into the prepolymer mixture, one can therefore achieve the ordered polymer-LC structures with tunable optical properties, resulting in many useful photonic applications [11–19]. This LC-involving patterning process is widely known as the holographic polymer-dispersed liquid crystal (HPDLC) technique [20,21]. Similarly, the addition of other additives such as nanoparticles into these holographic formulations has been also investigated [22–24]. Simultaneous addition of LCs and nanoparticles in the HPDLC formulation is expected to achieve the ordered structures with enhanced optical properties [25–27].

The 1D HPDLC periodic structures (i.e., gratings) are natural DBRs [28–34], which have been extensively investigated for many applications [35–38]. Particularly, the

1D HPDLC reflection gratings have long been studied for optical filters and reflective displays [32]. For the grating fabrication, the nature of coherent light illumination and the viscosity of the prepolymer syrup affect the phase separation of LC and polymer significantly during the photopolymerization process. Usually, upon the LCs partially separate from the polymer, numerous optically anisotropic LC droplets are formed, which will further cause nonnegligible light scattering. As a result, the strong light scattering could kill the phase separation due to polymerization in patterned periodic fashion [39–41], leading to formation of a poor-quality grating. This effect can be greatly suppressed by elevating the polymerization temperature above the clearing point of LCs. The elevated temperature decreases not only the anisotropy effect of LCs, but also the viscosity of the prepolymer syrup that greatly facilitate the phase separation [39,40]. Another possible way is to add the nonreactive additive. Hsiao and coworkers have reported porous reflection gratings fabricated from an acetone-added HPDLC formulation using visible laser (wavelength: 514 nm) holography [42–44]. Applications including humidity sensors have been also demonstrated [45–47]. However, only red-color reflection grating can be achieved at the normal incidence using the visible laser holography technique. In addition, the reflectance of nanoporous DBRs is still not high enough and the reflection band is a bit wide due to the strong scattering, which greatly limits their further applications.

In this work, we report highly reflective nanoporous DBRs with a modified HPDLC formulation via a single-prism-based ultraviolet (UV) interference setup. By changing the interference angle, nanoporous DBRs with three primary colors (red, green, and blue) are achieved after the removal of LCs. Such a kind of nanoporous DBRs is potentially useful for various applications including sensors, filters, holograms, etc.

In our experiments, the prepolymer syrup consists of 30 wt% nematic liquid crystal E7 (Jiangsu Hecheng Display Co., Ltd.), 40 wt% reactive mixture, and 30 wt% volatile solvent, acetone (Shanghai Lingfeng Chemical Reagent Co., Ltd.). The reactive mixture consists of 35 wt% monomer, Trimethylolpropane triacrylate (TMPTA, Aldrich), 3 wt% cross-linking monomer, RM257 (Shijiazhuang Stiano Fine

Chemical Co., Ltd.), 1 wt% UV photoinitiator 1173 (2-Hydroxy-2-methylpropiophenone, Aldrich), 1 wt% co-initiator, N-Phenylglycine (NPG, Aldrich).

All the materials were homogeneously mixed at 45 °C by stirring at 1000 rad/s for 2 hours. Then we added acetone into the mixture. A LC cell was assembled with two pieces of cleaned soda glass (size: 4 × 4 cm²) using the optical adhesive NOA65. The cell gap was controlled to be 10 μm using the SiO₂ ball spacers. The prepolymer syrup was then injected into the LC cell via the capillary action.

The reflection spectra of nanoporous DBR films were measured using a UV–Vis–NIR microspectrophotometer (CRAIC 20/30 PV™) with a 75W broadband Xenon light source. The probe light beam was focused to have a detecting area of 15 × 15 μm² using a 36× objective lens combined with a variable aperture. The morphologies of nanoporous DBR films were investigated by the field emission scanning electron microscopy (FESEM, Merlin, Zeiss) at an acceleration voltage of 5 kV. For refractive index sensing test, four solvents with different refractive indices were used. They were methanol ($n_m = 1.328$), ethanol ($n_e = 1.361$), n-propanol ($n_p = 1.384$), n-butanol ($n_b = 1.399$), respectively.

The experimental setup of the single-prism-based holography system is schematically illustrated in Fig. 1a. An Ar⁺ laser (Model: Innova 306C, Wavelength: 363.6 nm, Coherent, USA) was used as the light source. The output laser beam was subsequently filtered, expanded, and then collimated with a beam size of 2 cm in diameter. The collimated laser beam was then impinged on a designed prism. At a certain incident angle, total internal reflection took place for the incident laser beam at the glass-air interface of the prism. As a result, the incident beam and its own total internally reflected one interfered each other and formed a periodic interference pattern. The designed prism is made of quartz and has its apex angle of $\alpha = 64^\circ$ with a purpose to achieve the red-color nanoporous DBR films and have the ease of adjusting the incident angle as well. A LC cell filled with the prepolymer syrup was in optical contact with the base of the prism using an index-matching oil to further form

the interference pattern inside the cell. Fig. 1b shows the specific optical path of laser in the prism and LC cell. With the help of the matching oil, the laser beam entered the LC cell and totally reflected at the glass-air interface. The prism was placed on a rotation stage for the ease of changing the incident angle θ of the collimated laser beam. By doing so, the period of the interference pattern can be conveniently changed. As a result, we can achieve the polymeric DBR films with different periods. All the experiments were carried out at room temperature.

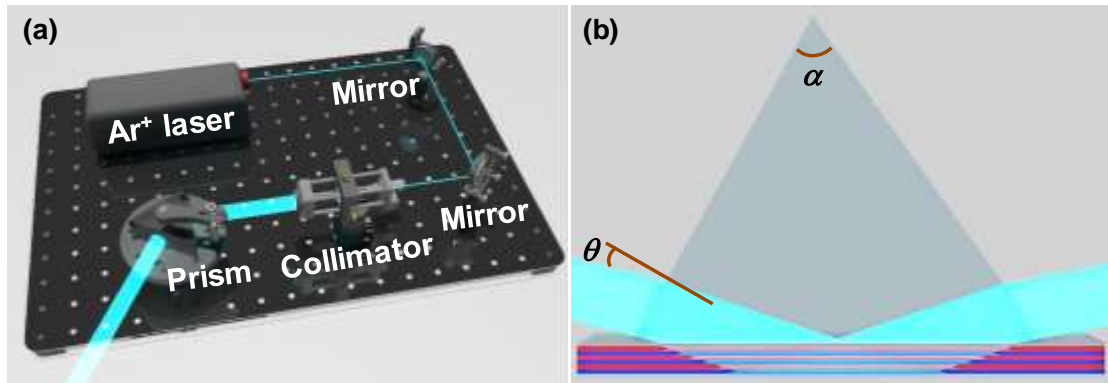


Figure 1. (a) Experimental setup for fabricating DBR films. (b) Schematic optical interference inside the LC cell via the single-prism configuration.

To estimate the period of the interference pattern, we have carried out the simulation of the laser interference based on the prism using the finite-difference time-domain (FDTD) method. The wavelength of a monochromatic light source was set to be 364 nm. The refractive index of the prism is 1.47. In our simulation, we have set three different incident angles of 7°, 14°, and 21°, respectively. The simulation results were shown in Fig. 2. It is obvious that the period of the interference pattern becomes large as the incident angle increases. The simulated periods at the three incident angles were ~350 nm, ~450 nm, and ~650 nm, respectively. From the simulation results, we can therefore carry out the experiments for the fabrication of DBR films.

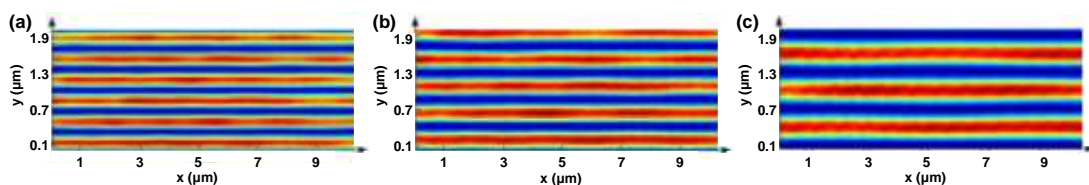


Figure 2. Simulated interference patterns with three different incident angles of (a) 7°, (b) 14°, and (c) 21°, respectively.

Upon exposure to the interference patterning with the beam intensity of 10 mW/cm^2 for 2 min, the LC cells were then exposed to the UV light for ~30 mins with the exposure intensity of 10 mW/cm^2 and then post-cured for 24 hr in air to ensure the complete polymerization. Upon opening the LC cell to separate the cured sample from one cover slide, the acetone inside the cured sample will evaporate rapidly and a nanoporous polymeric DBR film situated on the other cover slide was then achieved. It is worth mentioning that when the cell was opened, the DBR films tended to situate on the cover slide that was nearest to the incoming light. We noticed that there was a big difference on the appearance of the sample before and after the cell opening. The sealed LC cells after the UV laser exposure demonstrate a totally transparent state, as shown in Fig. 3a₁ and 3a₂. The total transparency can be mainly attributed to the index matching due to the presence of isotropic solvent (i.e., acetone). However, the nanoporous polymeric DBR film presents a translucent state (see Fig. 3b₁ and 3b₂). The evaporation of acetone leads to numerous air nanopores inside the polymers, which further cause strong scattering, making the DBR film opaque. Fig. 3a₃ and 3a₃ shows the measured transmission and reflection spectra of the three samples before and after the cell opening. From Fig. 3a₃, it is obvious that the three samples before the cell opening have quite high transmittance (near 80%) and reflectance (near 20%) across the whole visible range. In contrast, after the cell opening, there is significant decrease of the transmission and slight decrease of the reflection, as shown in Fig. 3b₃. Moreover, When the acetone evaporated after the cell opening, air nanopores were created. Meanwhile, the formed liquid crystal nanodroplets were still left inside the polymer matrix. These air nanopores and LC nanodroplets will create a slightly larger index modulation within the film compared to the originally acetone and LC nanodroplets. As a result, upon the acetone evaporation, clearly observable peaks/dips appeared on the measured reflection/transmission spectra for blue and green films. It is worth mentioning that we used different references in our spectral measurement. For transmittance measurement, we used the free-space light transmission in air as the reference, while for reflectance measurement, we used an Ag mirror as the reference.

Since the Ag mirror doesn't have 100% reflection, the measured reflection of the DBR films will be higher than the theoretical prediction. As a result, we observed relatively high reflectance.

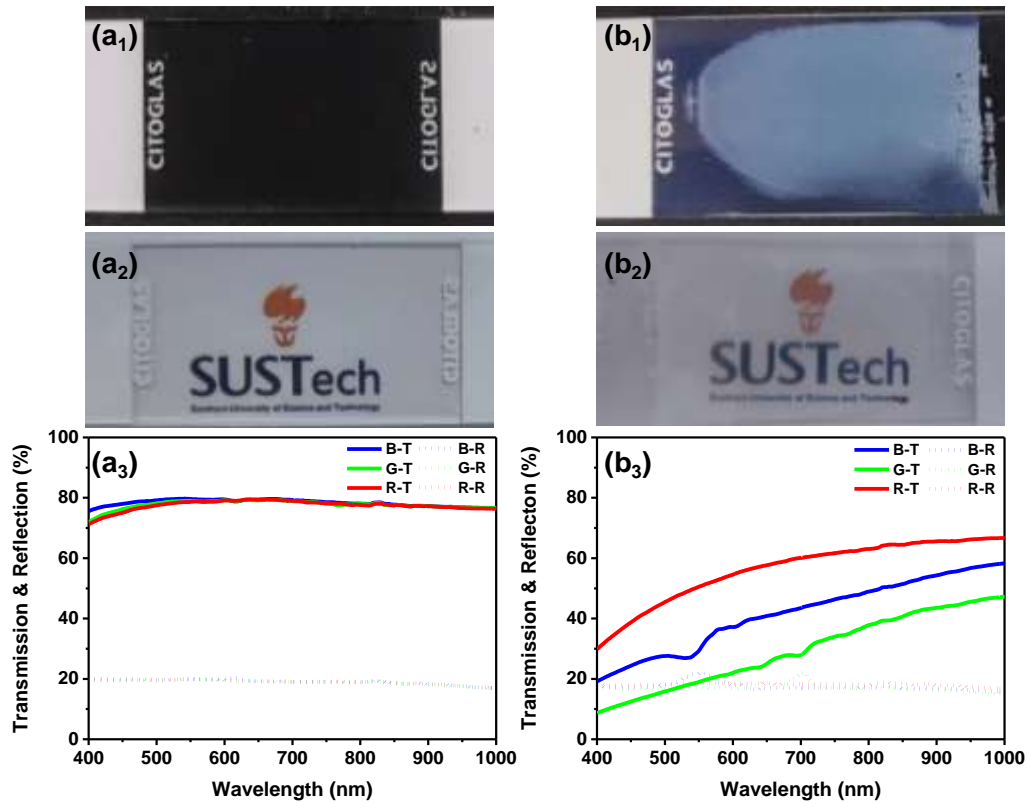


Figure 3. Transparency-tested photos for a blue film before (a₁ and a₂) and after (b₁ and b₂) the cell opening, which were taken from the black (a₁ and b₁) and white (a₂ and b₂) backgrounds, respectively. Measured transmission and reflection spectra for blue, green and red films before (a₃) and after (b₃) the cell opening, respectively.

We have to mention that although the acetone evaporated after the LC cell was opened, the LCs were still left inside the films. To further remove the LCs trapped inside the films, we used the ethanol to wash away the LCs. When the LC nanodroplets were washed away, complete air nanopores were created, resulting in a much larger index modulation. Therefore, after the removal of LCs, the nanoporous polymeric DBR films demonstrate a colored appearance. In our experiments, at three different incident angles of 7°, 14° and 21°, we have achieved blue, green and red colored DBR films, respectively, as shown in Fig. 4a₁&a₂, b₁&b₂ and c₁&c₂. We can clearly see the shiny blue, green and red colors both macroscopically and microscopically from the samples. It is worth mentioning that a macroscopic look at

DBR films shows nonuniform colors with fingerprint-like patterns, which could be mainly attributed to the nonuniform thickness of the index-matching oil in the optical contact. By accurately controlling the uniform thickness of the index-matching oil, this fingerprint-like pattern could be completely avoided, and hence a uniform color can be achieved accordingly.

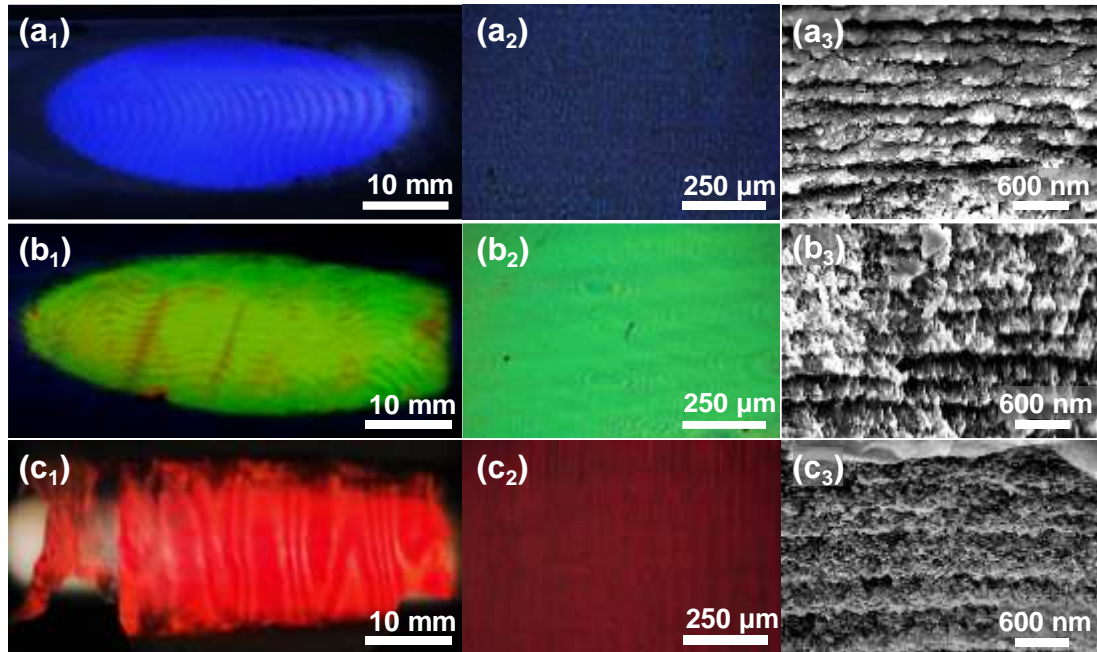


Figure 4. Camera photos (a₁, b₁ and c₁), optical microscopic images (a₂, b₂ and c₂), SEM images (a₃, b₃ and c₃) of the blue (a₁–a₃), green (b₁–b₃), and red (c₁–c₃) films, respectively.

To further check the morphological details of the DBR films, we have carried out the SEM investigation on three colored samples. Fig. 3a₃–c₃ shows the typically observed cross-sectional SEM images that reflect the detailed morphologies of the nanoporous polymeric DBR films. It can be clearly seen that the films have a multi-layered structure that consists of alternative polymer-rich and nanopore-rich layers. Therefore, they can highly reflect a certain wavelength range of the light. We can also see that as the reflection color changes from blue to red, the period of the DBR films increases gradually. The measured periods of the blue, green and red films are ~350, ~458, and ~663 nm, respectively. A close look at the morphologies reveals that the nanopore diameters range from a few nanometers to tens of nanometers, and the porosity of the polymeric DBR structure is in a range of 20–40%.

As mentioned, the observed visible colors originate from the high reflection of the nanoporous DBR films. The reflection spectra of the films were therefore measured using a UV–Vis–NIR microspectrophotometer. Fig. 5 shows the measured reflection spectra for the blue, green and red samples, respectively. The red and green films have the reflection of larger than 90%, while the blue one has comparatively low reflectance but still higher than 70%. The relatively low reflection for the blue film is mainly attributed to the slightly high absorption of the polymer materials at the blue range. In addition, we can also see from Fig. 5 that the full-width at half-maximum (FWHM) gradually decreases as the reflection band shifts from blue to red. The FWHM of the reflection bands are 46, 42, and 30 nm for blue, green, and red films, respectively. The larger FWHM for the blue film might be caused by the nanopore-induced relatively stronger scattering in the blue range. As the light wavelength in the red range becomes larger, the nanopore-induced scattering becomes weak, hence resulting in a smaller FWHM.

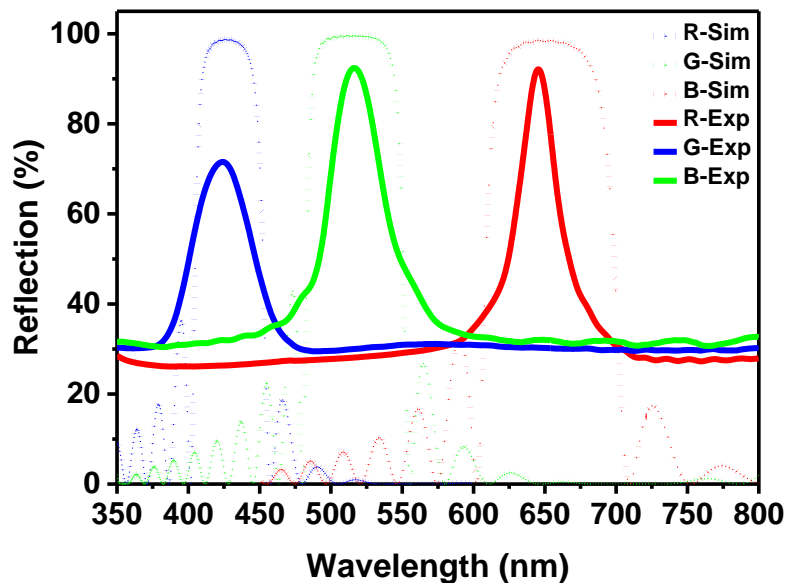


Figure 5. Measured and simulated reflection spectra for the blue, green, and red films, respectively.

Based on the Bragg condition, color of the reflected film is dependent on the periodic fringe formed by the laser interference. Hence, we have theoretically calculated the reflection curves. The refractive indices of polymer matrices and air were assumed to be 1.47 and 1, respectively. The DBR films were all assumed to have 10 pairs of

polymer-rich and nanopore-rich layers. The other data used in our calculations were tabulated in Table 1. It is worth mentioning that in our calculations, the wavelength dispersion of the refractive indices of the composed materials is not considered because their refractive index changes in the visible range are small enough to be ignored. We have also taken into account the shrinkage of 10–20% of the polymerization and nanopores due to the evaporation of the solvents. It is evident that the calculated curves are in reasonable agreement with the measured ones.

Table 1. The estimated thickness of the polymer-rich and nanopore-rich layers for the blue, green and red films.

Sample	Total Period (nm)	Polymer Layer (nm)	Air Layer (nm)
Blue	350	204	146
Green	458	237	221
Red	663	319	344

In principle, the reflection band of the nanoporous polymeric DBR films is mainly determined by their filling ratio and refractive index contrast between the polymer-rich and nanopore-rich layers at the fixed period. It is quite straightforward that the reflection band will be shifted when the air nanopores are filled with other materials that have different refractive indices. Therefore, the achieved nanoporous polymeric DBRs are potentially useful for optical sensing applications. In this regard, we carried out a refractive index sensing test using our nanoporous polymeric DBRs. We have investigated the changes of the reflection spectra for the blue, green and red films that infiltrated with four different solvents. The measured spectral changes are shown in Fig. 6. Overall, the measured reflection spectra for these three colored DBR films show a similar trend after the filling with the four liquids. As the refractive index increases, the reflection peak shows an obvious redshift for each film. In addition, the reflection peak intensity decreases as well. Fig. 6d summarizes the peak and intensity change as the function of the refractive index. A linear change can be well observed for the blue and green films. There is slight variation for the red one as the reflection peak becomes low and broad, causing that the measurement is not accurate enough.

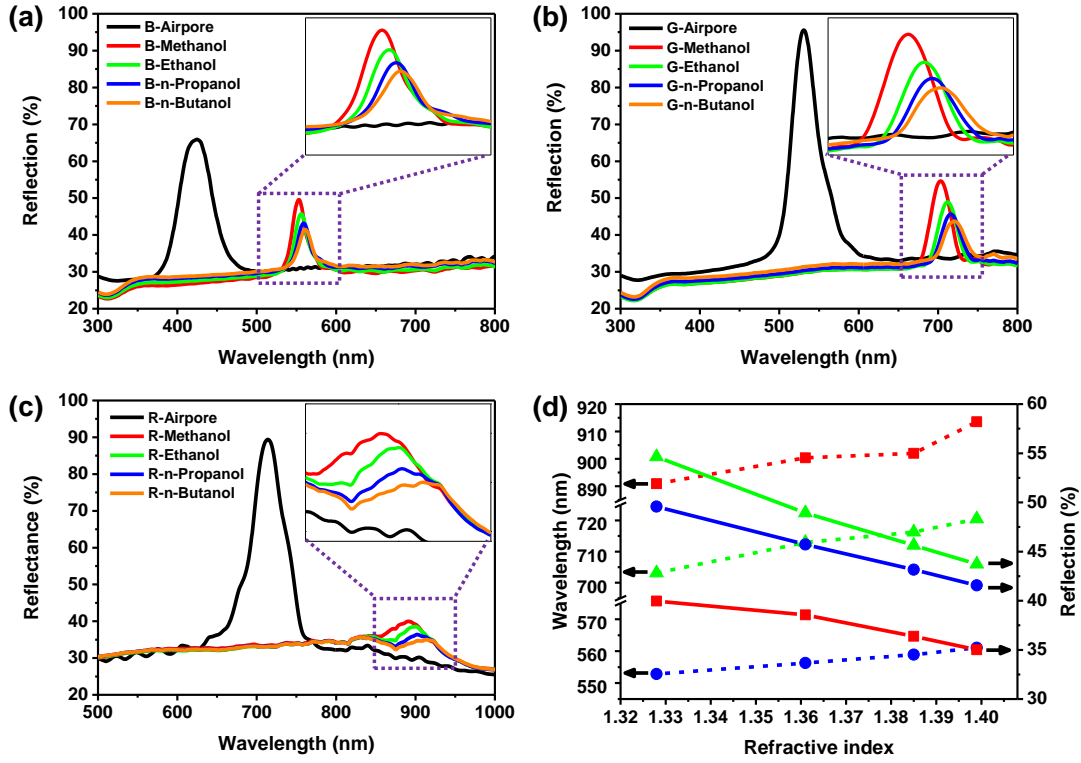


Figure 6. Measured spectral changes of the reflection for the blue (a), green (b), and red (c) films that infiltrated with methanol, ethanol, n-propanol, and n-butanol, respectively. (d) Summarized peak and intensity change as the function of the refractive index.

Using the Bragg's Law, the center wavelength of the reflection spectrum can be determined by [43]

$$\lambda_{\text{Bragg}} = 2(n_1d_1 + n_2d_2) \quad (1)$$

where for our case, n_1 and d_1 are the refractive index and thickness of the polymeric layer, n_2 and d_2 are the refractive index and thickness of the nanoporous layer. For the refractive index sensing test, the refractive index change of the nanoporous layer (i.e., n_2) plays a dominant role. When n_2 increases, the reflected center wavelength increases as well. As a result, we observed a clear redshift of the reflection peak. On the other hand, in the extreme case, when n_2 increases to be equal to n_1 (i.e., reach the complete index matching condition), the DBR film will become totally transparent. There is no reflection caused by the index mismatch between the polymer-rich and nanopore-rich layers. Therefore, we also observed the intensity decrease of the reflection peak.

In summary, we have demonstrated nanoporous polymeric DBR films with periodic nanoscale porosity via a single-prism holography technique. The nanoporous DBR films consisted of alternative polymer-rich and air-nanopore-rich layers. By changing the interfering angle of two laser beams, we have achieved highly reflective nanoporous DBR films with red, green, and blue colors. Their reflectance can be larger than 70% for blue, and 90% for green and red wavelength range. We have also experimentally confirmed that the reflection band of the nanoporous DBR films can be deliberately tuned by further filling different solvents into the pores inside the films, indicating that they are highly sensitive to the refractive index. Such kind of nanoporous polymeric DBR films could be potentially useful for many applications, such as color filters and refractive index sensors.

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